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Meeting Materials  
For a Peer Consultation on a Draft Framework  
To Evaluate  
Whether the Default Uncertainty Factor for  
Human Kinetic Variability is Adequate for  
Protecting Children

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53 **1.0 INTRODUCTION**

54

55 This report describes a framework (presented in Section 6 below and depicted in Figure  
56 11a for inhalation of particles and Figure 11b for inhalation of gases and vapors) for evaluating  
57 the adequacy of the human kinetic variability subfactor for protecting children in the  
58 development of risk values. While the ideal approach for addressing this issue is using a  
59 physiologically-based pharmacokinetic (PBPK) model, when data or resources are not available  
60 to build such a model, a framework such as this may help identify cases where there is concern  
61 that the default is inadequate or where there is substantial uncertainty about the magnitude of  
62 kinetic differences between adults and children. Although the framework presented in this report  
63 is primarily for the inhalation route, application to the oral route is also discussed. The  
64 framework categorizes the chemical endpoint based on particle versus gas, and based on the  
65 target area (region of the respiratory tract or extrapulmonary).

66 Sections 3 and 4 below present methods and results of analyses of relative dosimetry,  
67 based on the U.S. Environmental Protection Agency (U.S. EPA) Reference Concentration (RfC)  
68 dosimetry and other steady-state approaches for extrapulmonary effects. These analyses were  
69 used in the draft framework to divide exposures into three groups based on chemical  
70 characteristics (e.g., blood:air partition coefficient) or exposure conditions (e.g., duration of  
71 exposure): (1) the default uncertainty factor is unlikely to be sufficiently protective, (2) the  
72 default uncertainty factor appears to be protective, and (3) the default uncertainty factor may be  
73 protective, but more information is needed to adequately evaluate the kinetic differences.  
74 Limitations and potential expansions to the framework are also discussed.

75

76 **2.0 BACKGROUND**

77

78 A number of scientific and policy initiatives beginning in the mid to late 1990s have  
79 resulted in increased interest in the risk to fetuses, infants, and children and consideration of how  
80 such risks should be evaluated. The U.S. EPA is explicitly mandated to consider fetuses, infants  
81 and children as potentially sensitive subpopulations. In 1995, EPA established an agency-wide  
82 policy that calls for consistent and explicit consideration of the risk to infants and children in all  
83 risk assessments and characterizations, as well as in environmental and public health standards

84 (Memorandum from the Office of the Administrator, October 20, 1995). The Food Quality  
85 Protection Act (FQPA) of 1996 mandated that, in setting pesticide tolerances, an additional ten-  
86 fold margin of safety be applied to infants and children to take into account potential pre- and  
87 post-natal toxicity and completeness of the data with respect to exposure and toxicity to infants  
88 and children, but noted that “the Administrator may use a different margin of safety for the  
89 pesticide chemical residue only if, on the basis of reliable data, such margin will be safe for  
90 infants and children.” The Safe Drinking Water Act amendments of 1996 also stipulated that in  
91 establishing maximum contaminant levels (MCLs) the Agency shall consider “the effect of such  
92 contaminants upon subgroups that comprise a meaningful portion of the general population (such  
93 as infants, children, pregnant women, the elderly, individuals with a history of serious illness or  
94 other subpopulations) that are identifiable as being at greater risk of adverse health effects due to  
95 exposure to contaminants in drinking water than the general population.” On April 21, 1997,  
96 President Clinton signed an Executive Order (13045) that federal health and safety standards  
97 must include an evaluation of the potential risks to children in planned regulations.

98  
99 Similar policies have been initiated in Europe and Canada to evaluate more fully the  
100 potential differences in risk from chemical exposure to children. For example, a recent report by  
101 the European Environment Agency and the World Health Organization (WHO) identified policy  
102 priorities for protecting children’s health from environmental hazards (EEA and WHO 2002).  
103 The European Union also recently announced a new initiative (SCALE) focusing on children. In  
104 addition, Canada’s Pesticide Management Regulatory Agency has developed a policy notice  
105 regarding children (Health Canada 2002), and the National Institute of Public Health and the  
106 Environment (RIVM) in the Netherlands has conducted research on pharmacokinetics of  
107 xenobiotics in children (de Zwart et al. 2002).<sup>1</sup>

---

<sup>1</sup> The risk to adults and children could differ due to differences in exposure, toxicodynamics, or toxicokinetics. (Unless otherwise specified, the term *child* is used in this report to refer to the entire period between birth and attainment of physical and sexual maturity.) For example, differences in intake parameters or activity patterns (e.g., hand-to-mouth behavior in infants, children playing in dirt) can affect exposure. Toxicodynamic differences may result from windows of increased susceptibility in developing tissues. In addition, damage to developing tissue may manifest at a later stage in growth (de Zwart et al. 2004). Toxicokinetic differences may result from numerous age-related differences in absorption, distribution, metabolism, and excretion, and their resulting effect on tissue dose of the active form of the chemical. These differences have been catalogued in numerous papers, as described below. This report focuses on the impact of toxicokinetic differences between adults and children.

108           These differences have led to the question of whether the standard approach for  
109 developing risk values adequately protects children. Expressed in terms of the methods for  
110 developing chronic risk values, this raises the issue of whether the uncertainty factor for human  
111 variability ( $UF_H$ ) adequately addresses the full range of human variability, including differences  
112 between adults and children. A recent international effort, led by the International Programme  
113 on Chemical Safety (IPCS), has developed a framework for using chemical- or category-specific  
114 data to develop Chemical-Specific Adjustment Factors (CSAFs) (IPCS 2001). The CSAF  
115 methodology breaks the uncertainty factors for interspecies extrapolation ( $UF_A$ ) and human  
116 variability ( $UF_H$ ) into kinetic and dynamic components<sup>2</sup>. Using the CSAF paradigm, the work in  
117 this report is designed to evaluate the adequacy of the kinetic component of  $UF_H$  for protecting  
118 children. The default factor for this component, also referred to as  $HK_{AF}$ , is 3.2 (rounded to 3 by  
119 some organizations). The CSAF is calculated as the ratio of a key determinant of tissue dose  
120 (e.g., clearance or Area Under the Curve (AUC)) between the mean of the population and a  
121 specified percentile of the population (e.g., the 95<sup>th</sup> or 99<sup>th</sup> percentile).

122           Note that neither the CSAF nor the standard UF is intended to cover the entire population  
123 variability from the most sensitive to the least sensitive. Thus, it is not appropriate to consider  
124 the entire range of human variability (e.g., the 5<sup>th</sup> percentile to the 95<sup>th</sup> percentile) in considering  
125 the adequacy of uncertainty factors. Even using the ratio of the mean to the 95<sup>th</sup> percentile is a  
126 health-protective approach, since in practice the extrapolation from effect levels in an animal  
127 study is from a low response rate - not the mean response. As described by Dourson et al.  
128 (2002), use of the interspecies uncertainty factor,  $UF_A$ , to extrapolate from a No-Observed-  
129 Adverse-Effect-Level (NOAEL) or benchmark dose (BMD) obtained from a study in laboratory  
130 animals results in a dose that is at the low end of the human dose-response curve (shown in  
131 Figure 1a based on cumulative response and in Figure 1b based on response at the dose). The  
132 Reference Dose (RfD) is then obtained by using the human variability uncertainty factor,  $UF_H$ , to  
133 extrapolate from the general population to a dose that would not be expected to cause effects in  
134 sensitive populations. This analysis means that defining the CSAF based on the ratio from the

---

<sup>2</sup> The CSAF guidance tends to define metabolic changes to a chemical in the target tissue as part of toxicodynamics, but notes that there is overlap between kinetics and dynamics, since PBPK models can address metabolic transformation occurring in the target tissue. Since much of this document focuses on portal-of-entry effects, the distinction of target tissue vs. systemic dose is less useful, and kinetics is considered to include all aspects of the body's processing of the chemical, while dynamics is used to refer to the toxic effects of the chemical for a given tissue dose.

135 mean to the specified percentile (as opposed to the ratio from, e.g., the 95<sup>th</sup> percentile to the  
 136 99.999 percentile) is a health protective approach that is expected to adequately cover the entire  
 137 population, and has fewer uncertainties than estimating percentiles farther into the tail of the  
 138 distribution.

Figure 1a. Cumulative Response as a function of Dose for Humans and Rats. Data are hypothetical, but approximate real situations.

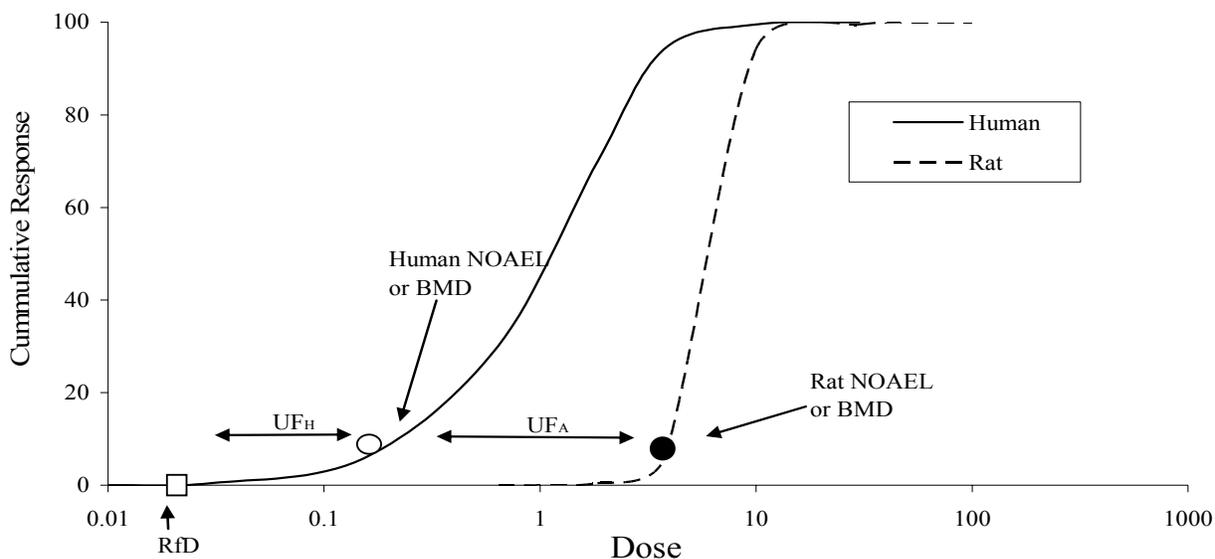
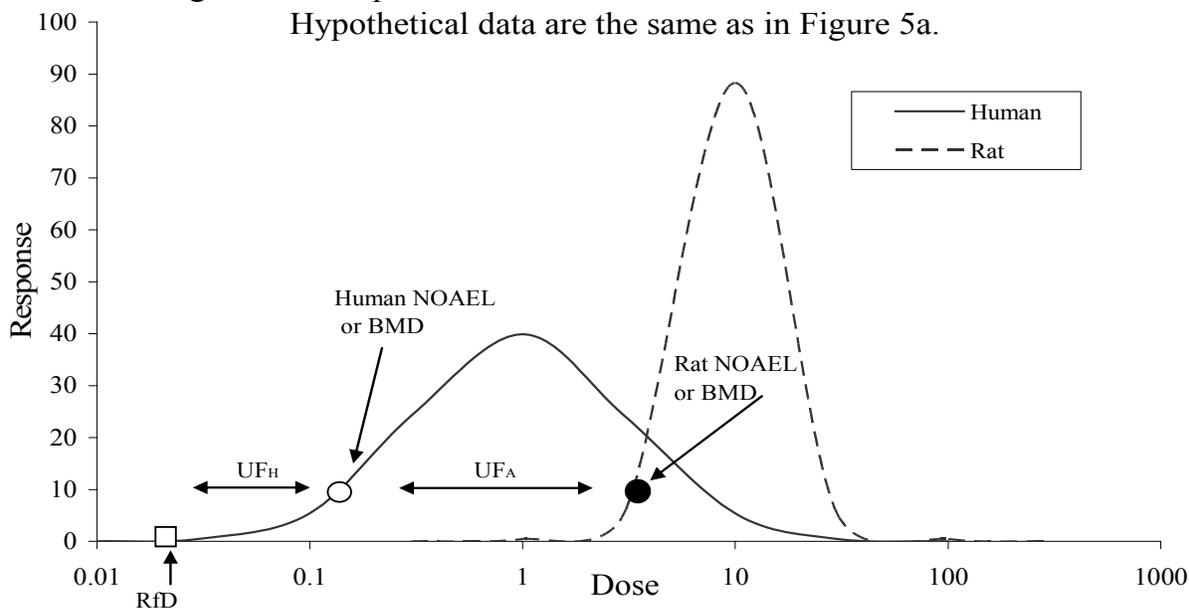


Figure 1b. Response as a function of Dose for Humans and Rats. Hypothetical data are the same as in Figure 5a.



139

140 Figure 1a and 1b from Dourson et al. 2002.

141  
142 A number of studies have reviewed physiological and metabolic differences between  
143 adults and children (e.g., Renwick 1998; Ginsberg et al. 2002; Scheuplein et al. 2002; Wolterink  
144 et al. 2002; de Zwart et al. 2004). Determining the impact of these differences on tissue dose is  
145 challenging. However, data on pharmaceuticals have been used to evaluate age-related  
146 differences in such parameters as clearance, half-life, area under the blood concentration-time  
147 curve (AUC) and blood concentration, and the resulting impact on tissue dose (Renwick 1998;  
148 Renwick et al. 2000; Ginsberg et al. 2002; Hattis et al. 2003; Ginsberg et al. 2004b). These latter  
149 studies have concluded that the major differences are in the first six months of life, primarily in  
150 the first two months. In a broad review of kinetic and physiological differences between adults  
151 and children, including clinical differences observed for specific drugs, Renwick et al. (1998)  
152 concluded that the default uncertainty factor is sufficient for protecting children, except for  
153 preterm neonates in the first weeks of life. They also suggested that, because of enhanced  
154 metabolism by children after the neonatal period, particular attention should be paid to chemicals  
155 that undergo metabolic activation.

156 Hattis, Ginsberg, and colleagues have developed a substantial database of kinetic  
157 parameters for 44 chemicals (primarily pharmaceuticals), available at  
158 <http://www2.clarku.edu/faculty/dhattis>. This database has been used for a number of analyses  
159 describing variability of half-life with age and by metabolic enzyme class (e.g., CYP3A  
160 substrates, CYP1A2 substrates, etc.) (Ginsberg et al. 2002; Ginsberg et al. 2004b). These studies  
161 found that the average ratio of child:adult half-life for all substrates, and for most individual  
162 classes, was greater than 3 for premature neonates. The average ratio was also elevated for full-  
163 term neonates through about two months, depending on the substrate. The child half-life tended  
164 to be less than adult half-life in the six-month to two-year age range, with lower half-lives  
165 sometimes extending through 12 years, depending on the substrate.

166 These analyses, based primarily on pharmaceutical data, provide much useful information  
167 regarding the impact of age-related kinetic differences. However, there are a number of issues  
168 that need to be considered in extrapolating such data to environmental chemicals. Many of these  
169 issues have been described by Clewell et al. (2004). They include: (1) pharmaceuticals are  
170 usually water-soluble, while environmental chemicals are often lipophilic; (2) different  
171 metabolic systems may apply; (3) the parent is usually (though not always) the active agent for

172 pharmaceuticals, while competing activation and detoxification reactions may be important for  
173 environmental chemicals; (4) pharmaceutical data are primarily for administration via the oral  
174 route; and (5) exposure to pharmaceuticals is usually at doses designed to cause an effect,  
175 meaning that it is at the upper end of the dose-response curve for therapeutic effectiveness, while  
176 exposure to environmental chemicals is typically at the low end of the dose-response curve. An  
177 additional factor is that much of the data collected on pharmaceuticals are based on half-life, and  
178 differences in half-life do not necessarily translate directly into differences in clearance (which is  
179 inversely related to AUC). Half-life needs to be corrected for volume of distribution to be  
180 related to clearance. While Ginsberg et al. (2002) concluded that differences in volume of  
181 distribution did not affect the elimination half-life for the drugs they studied, differences in  
182 volume of distribution may be more important for chemicals that are lipophilic, concentrate in  
183 other tissues, or bind significantly to plasma proteins.

184 As part of a project of the International Life Science Institute (ILSI) on evaluating  
185 children's risk from exposure to environmental chemicals, Daston et al. (2004) developed a  
186 framework for assessing children's risk, ranging from problem formulation through analysis and  
187 risk characterization, and Ginsberg et al. (2004c) presented a framework for considering  
188 toxicokinetic issues related to children's risk, highlighting a number of questions and issues that  
189 need to be considered.

190 The purpose of this current report is to build on the frameworks developed as part of the  
191 ILSI process using semi-quantitative methods and illustrative quantitative analyses to begin to  
192 identify cases where the default uncertainty factor of 3.2 for human kinetic variability appears  
193 unlikely to be sufficiently protective of children, cases where the default is clearly protective,  
194 and cases where more information is needed to adequately evaluate the issue. This report also  
195 begins to identify key considerations that can be used to extend this work to additional cases, and  
196 key uncertainties.

197 In light of the complexity of the problem being considered, the material presented in this  
198 report addresses a limited set of cases, using a number of simplifying approaches. First, this  
199 report focuses on inter-individual variability for endpoints other than reproductive or  
200 developmental toxicity. When risk values are developed based on reproductive or overt  
201 developmental endpoints, one typically already has data indicating the susceptible age range, and  
202 that sensitive subgroup is used as the basis for the risk value. Fetal exposure is not addressed in

203 this analysis, since the outcomes of such exposure would result in developmental effects that  
204 might serve as the basis of risk values. In addition, this report excludes variability in exposure,  
205 except as it relates to variability in tissue dose at a given concentration in air, due to varying  
206 minute volumes. Instead, the framework is most relevant for considering whether children have  
207 windows of increased susceptibility (due to kinetic differences) to endpoints identified in studies  
208 conducted in young adult animals of effects on organ or system structure or function. For  
209 example, if the critical effect is on the liver, lung, or immune system observed in a standard  
210 toxicity study, this report addresses whether the default approach adequately addresses *kinetic*  
211 differences (but not dynamic) between adults and children. Conversely, this report does not  
212 address whether kinetic variability is adequately addressed when the critical effect is a  
213 teratogenic or neurodevelopmental endpoint, or an effect on spermatogenesis, since extrapolation  
214 from adults to children would not be involved for these endpoints, and the critical age range has  
215 been identified. Second, most of the current analysis is for the inhalation route, since dosimetry  
216 is further developed for this route, but the oral route is also discussed qualitatively.

217 A primary goal of this report is to identify the situations of highest concern, where  
218 children are expected to be, or may be, more sensitive than adults, in order to focus efforts for  
219 obtaining additional data and for more refined analyses to those cases and categories of  
220 chemicals where there is the greatest potential or likelihood of children being at greater risk.  
221 While there are clearly a number of caveats in the approaches presented, and numerous  
222 refinements that would enhance them, we hope that this report and framework will serve as a  
223 starting point for future analyses and recommendations regarding the impact of age-related  
224 toxicokinetics.

225

### 226 **3.0 METHODS FOR ANALYSES OF RELATIVE DOSIMETRY**

227

228 This section describes the quantitative analyses used to evaluate the tissue dose in  
229 children and adults. For effects in the respiratory tract, analyses were done primarily using the  
230 U.S. EPA RfC dosimetry methods (EPA 1994). As described below, the methods differentiate  
231 between particles (including aerosols) and gases, and the gases are differentiated based on  
232 reactivity and water solubility. The analyses for extrapulmonary effects evaluated tissue dose

233 using steady-state assumptions, based on bounding estimates and age-specific data on maturation  
234 of enzyme capacity.

235

### 236 **3.1 Physiological Parameters for the Respiratory Tract**

237

238 Age-specific physiological parameters for determining respiratory tract dosimetry were  
239 based on the values calculated by Stanek and colleagues (2004) from physiological data  
240 developed by the International Commission on Radiological Protection (ICRP) (Valentin 2002).  
241 These data are summarized in Table 1. While Stanek and colleagues presented separate  
242 physiological parameters for males and females in the 15- and 30-year old age groups, the  
243 calculations presented here are based on the average of male and female data for these age  
244 groups, in order to simplify the calculations.

245

246 Table 1. Physiological Parameters for Respiratory Tract in Humans of Different Age Groups,  
247 and Derived Ratios.

248

<b>Age</b>	<b>BW (kg)</b>	<b>Ve (L/min)</b>	<b>SA-ET (cm<sup>2</sup>)</b>	<b>Ve/SA- ET</b>	<b>SA-TB (cm<sup>2</sup>)</b>	<b>Ve/SA- TB</b>	<b>SA-PU (cm<sup>2</sup>)</b>	<b>Ve/SA- PU</b>
3 month	6	2	66	0.03	710	2.8E-03	1.1E+05	1.8E-05
1 yr	10	3.6	97	0.037	860	4.2E-03	1.8E+05	2.0E-05
5 yr	20	6.1	200	0.031	1300	4.6E-03	3.7E+05	1.6E-05
10 yr	33	11	290	0.036	1800	5.8E-03	6.2E+05	1.7E-05
15 yr	55	12	420	0.03	2400	5.5E-03	1.1E+06	1.3E-05
30 yr	67	14	440	0.032	2600	4.8E-03	1.0E+06	1.1E-05

249

250 Ve = Minute volume

251 SA-ET = surface area of extrathoracic region

252 SA-TB = surface area of tracheobronchial region

253 SA-Pu = surface area of pulmonary region

254 Physiological parameters and ratios between surface areas and minute volume are from Stanek et  
255 al. (2004).

256

257 **3.2 Particles**

258

259 The primary approach used to estimate particle deposition in the respiratory tract was  
260 using the RDDr (Regional Deposited Dose Ratio) software developed by the U.S. EPA and  
261 provided as part of the RfC guidelines (EPA 1994). This is an empirical model that calculates  
262 deposition based on particle characteristics (e.g., particle size and its distribution) and  
263 physiological parameters (e.g., minute volume and surface area in the airway region).

264 In this document, this empirical human model (EPA 1994) was used to estimate the age-  
265 related differences in regional dose deposition. The approach used is briefly summarized below.

266

267 As defined by EPA (1994),

268

$$269 \quad \text{RDDr} = 10^{-6} \times C_i \times V_E \times Fr$$

270

271 where:

272

273 RDDr = dose deposited in region r, mg/min,

274 Ci = concentration (this will be canceled out in the calculation of regional target  
275 dose ratios between different age groups),

276 V<sub>E</sub> = minute volume, mL/min, obtained from Stanek et al. (2004)

277 Fr = fractional deposition in region r.

278

279 To estimate relative particle deposition dose in children and adults, regional target dose  
280 ratios (RTDR) were calculated relative to the adult dose (30-year old) as shown in the following  
281 equation; regional surface area was used as the normalizing factor. The term RTDR is  
282 introduced here to avoid confusion with the term RDDr, which generally refers to the  
283 interspecies deposition ratio. In addition, while the RDDr is expressed with the reference data  
284 (the animal data) in the numerator of the ratio, the RTDR is expressed with the reference data  
285 (adult) in the denominator. This is so that the ratio can more easily be used directly to evaluate  
286 age-dependent kinetic differences. A RTDR larger than one indicates that child receives a higher  
287 target dose than the adult.

288

$$\begin{aligned} 289 \quad \text{RTDR}_r &= \text{RDDr child} / \text{RDDr adult} \\ 290 &= [(\text{SAr})_a / (\text{SAr})_c] \times [(\text{V}_E)_c / (\text{V}_E)_a] \times [(\text{Fr})_c / (\text{Fr})_a] \end{aligned}$$

291 where:

292

293  $\text{RTDR}_r$  = regional target dose ratio of particles for respiratory tract region (r)

294 a = adult

295 c = child

296  $\text{Sar}$  = surface area in respiratory region (r) for the particular age group obtained  
297 from Stanek et al. (2004)

298

299 The calculations shown in the equation above evaluated deposition only for mono-  
300 dispersed particle distributions ( $\sigma_g \leq 1.3$ ). A similar calculation of RTDR can also be  
301 conducted for polydisperse particles ( $\sigma_g > 1.3$ ) by using the RDDR software provided with  
302 EPA's RfC guidelines (EPA 1994). Additional details regarding the calculation of RTDR are  
303 presented in the appendix.

304 As an initial step in evaluating the impact of age-specific differences in RTDR on the  
305 effects of longer-term exposure, an age-weighted RTDR was calculated for the first 10 years of  
306 life.

307 Weighting was done based on the time interval between the data points available. For  
308 children ages ranging from 3 months to 10 years, the age weighted RTDR for each region was  
309 calculated as follows:

310

$$\begin{aligned} 311 \quad \text{Age weighted RTDR} &= [(\text{average of RTDRs (3-month and 1-year)}) / 0.75 \text{ year}] \\ 312 &+ (\text{average of RTDRs (1-year and 5-year)}) / 4 \text{ year} \\ 313 &+ (\text{average of RTDRs (5-year and 10-year)}) / 5 \text{ year}] / 9.75 \text{ years} \end{aligned}$$

314

315 **3.3 Category 1 Gases**

316

317 Category 1 gases are defined in EPA's RfC methods as gases that are highly water-  
318 soluble and/or rapidly irreversibly reactive in the respiratory tract. Age-specific differences in  
319 regional respiratory tract deposition for category I gases, were estimated using the approach  
320 described in the U.S. EPA RfC methods (EPA 1994) for calculating Regional Gas Dose (RGDr).  
321 The RTDR between adults and children was calculated using the equations derived in the RfC  
322 methods, and presented below. The use of the term RTDR is similar to that described for  
323 particles, but in this case, the RTDR is analogous to the Regional Gas Dose Ratio (RGDR).

324

325 Extrathoracic Effects. For extrathoracic effects of category 1 gases, the following  
326 equation was used to calculate the  $RTDR_{ET}$ :

327

$$\begin{aligned} 328 \quad RTDR_{ET} &= (RGD_{ET})_c / (RGD_{ET})_a \\ 329 &= [(V_E/SA_{ET})_c] / [(V_E/SA_{ET})_a] \end{aligned}$$

330

331 Tracheobronchial Effects: For tracheobronchial effects of category 1 gases, the  
332 scrubbing in the upper airways of the chemical is taken into account empirically, and the  
333 concentration of the air exiting the ET region is used in the derivation of dose to the TB region.

334

$$\begin{aligned} 335 \quad RTDR_{TB} &= (RGD_{TB})_c / (RGD_{TB})_a \\ 336 &= \{[(V_E/SA_{TB})_c] / [(V_E/SA_{TB})_a]\} \times \{[e^{-(SA_{ET}/V_E)}]_c / [e^{-(SA_{ET}/V_E)}]_a\} \end{aligned}$$

337

338 Pulmonary Effects: The gas concentration that reaches the PU region is affected by the  
339 amount of uptake in both the ET and TB regions, so that the derivation for the PU gas dose ratio  
340 ( $RTDR_{PU}$ ) incorporates the penetration fraction both for the ET and TB regions, respectively.

341

$$\begin{aligned} 342 \quad RTDR_{PU} &= (RGD_{TB})_c / (RGD_{TB})_a \\ 343 &= \{[(V_E/SA_{PU})_c] / [(V_E/SA_{PU})_a]\} \times \{[e^{-(SA_{ET}/V_E)}]_c / [e^{-(SA_{ET}/V_E)}]_a\} \\ 344 &\quad \times \{[e^{-(SA_{TB}/V_E)}]_c / [e^{-(SA_{TB}/V_E)}]_a\} \end{aligned}$$

345

346 Age-specific physiological parameters were obtained from Stanek (Stanek et al. 2004), as  
347 presented in the previous section.

348

### 349 **3.4 Category 2 Gases**

350

351 Category 2 gases are defined in the RfC methods as moderately water soluble, and  
352 rapidly reversibly reactive or moderately to slowly irreversibly metabolized in respiratory tract  
353 tissue. Due to some inconsistencies in the calculations for Category 2 gases in the RfC methods  
354 (EPA 1994), Category 2 gases are treated in practice as either Category 1 gases or Category 3  
355 gases, depending on the target (Jarabek, personal communication). Thus, respiratory effects of  
356 Category 2 gases are evaluated using the Category 1 approach, while extrapulmonary effects are  
357 evaluated using the Category 3 approach. These approaches can be considered reasonable  
358 approximations while the Category 2 approach is being further refined. Since there is currently  
359 no publicly available Category 2 approach, no separate calculations were conducted to evaluate  
360 age-specific differences in dosimetry for Category 2 gases.

361

### 362 **3.5 Category 3 Gases**

363

364 Category 3 gases are defined in the RfC methods as relatively water insoluble and not  
365 reactive in the airways of the lung, and these gases are absorbed into the systemic circulation  
366 (EPA 1994). Age-specific differences in steady-state concentration in blood (a surrogate for  
367 tissue dose) were calculated using several approaches.

368 The first approach was analogous to the RfC methods, which extrapolate from animals to  
369 humans based on blood:air partition coefficients (EPA 1994). Age-dependent blood:air partition  
370 coefficients were computed using the physiologically-based prediction algorithm of Poulin and  
371 Krishnan (1996b),

372

$$373 \quad P_{b:a} = (P_{o:a} * F_l) + (P_{w:a} * F_w),$$

374

375 where  $P_{b:a}$  is the blood:air partition coefficient,  $P_{o:a}$  is the octanol:air partition coefficient,  $P_{w:a}$   
376 is the water:air partition coefficient,  $F_l$  is the lipid content of blood, and  $F_w$  is the water content

377 of blood. While this algorithm tends to under predict apparent partitioning into blood based on  
378 solubility alone, perhaps due to plasma-protein binding (Poulin and Krishnan 1996a;  
379 Fouchecourt et al. 2001; Sterner et al. 2004), it is physiologically-based, allowing age-dependent  
380 changes in blood to be examined. The available data indicate that plasma proteins are less  
381 abundant in children, and possible structural differences may exist for some proteins. Miller et  
382 al. suggested that reduced plasma protein binding in newborns is due to reduced affinity of  
383 albumin for acidic drugs and lower levels of globulins and lipoproteins in the plasma of  
384 newborns, although protein levels reach adult values by 1 year of age (Miller et al. 2002).  
385 Lerman et al. (1984) measured slightly less albumin and globulin in the serum of newborns and  
386 5-year-old children compared to adults. However, age-related differences in blood protein  
387 content are relatively small, and thus are expected to have a small impact on the predicted ratios  
388 of blood:air partition coefficients. The water content of blood was computed using age-  
389 dependent hematocrit data and the empirical relationship of Herscovitch and Raichle (1985):

$$C = 0.95 \text{ g/ml} - (0.22 \text{ g/ml}) * H,$$

393 where C is the water content of whole blood and H is the hematocrit. The age-related lipid  
394 content of blood needed for the calculations was measured by Berensen et al. (1982). Gargas et  
395 al. (1989) reported the octanol:air and saline:air (used for water:air) values for several chemicals  
396 (see Table 3). The blood:air partition coefficients were computed for several age groups,  
397 including newborns, ages 6 months to 2 years, 2 to 6 years, 6 to 12 years, 12 to 18 years, and  
398 over 18 years.

399 A bounding estimate of the pharmacokinetic differences between children and adults was  
400 computed using the steady-state equations for the concentration of volatile chemicals in blood  
401 (Pelekis et al. 1997; Sarangapani et al. 2003; Clewell et al. 2004)

$$CA = CI / (1/Pb:a + QLC * E),$$

405 where CA is the steady-state concentration in arterial blood, CI is the inhaled concentration, Pb:a  
406 is the blood:air partition coefficient, QLC is the fractional blood flow to the liver, and E is the  
407 hepatic extraction ratio. The age-specific fractional blood flow to the liver computed by Clewell

408 et al. (2004) was used for the calculations. To obtain an upper bound on the differences in  
409 steady-state concentrations due to an immature metabolic system, the adult hepatic extraction  
410 ratio was assumed to be 1, while an extraction ratio of 0 was assumed for young children. Under  
411 these conditions, the chemical-specific blood:air partition coefficient was varied to obtain a  
412 range of steady-state chemical concentration ratios between children and adults. The  
413 concentration in blood was computed for ages 3 months, 1 year, 5 years, 10 years, 15 years, and  
414 25 years.

415

#### 416 **4.0 RESULTS OF ANALYSES OF RELATIVE DOSIMETRY**

417

418 The general approach used for this preliminary evaluation of relative dose in children and  
419 adults following inhalation exposure was based on the dosimetry developed by the U.S. EPA for  
420 development of RfCs (EPA 1994). This approach has the advantage of ease of use for a broad  
421 range of chemicals and a relatively long history of use. However, there are also a number of  
422 well-recognized limitations to the RfC dosimetry, including aspects of kinetics that are not  
423 addressed. Some of these considerations were addressed by additional work or additional  
424 models, as described below. Other limitations and possible approaches to address these  
425 limitations are noted as potential future enhancements to the proposed framework, but were not  
426 included in the current evaluation.

427 The RfC methods describe approaches for calculating the tissue dose in animals and  
428 humans resulting from inhalation exposure. The major focus of the RfC dosimetry is on  
429 developing factors that can be applied to exposure concentrations used in animal studies to  
430 determine the exposure concentration in humans that would result in the same tissue dose, the  
431 Human Equivalent Concentration (HEC). For this work, the focus is on comparing the tissue  
432 dose in adults and children, in order to evaluate whether the default factor of 3.2 for human  
433 kinetic variability is sufficient to protect children. To facilitate a more direct comparison with  
434 the uncertainty factor, the term Regional Target Dose Ratio (RTDR) is introduced here. The  
435 RTDR is conceptually the inverse of the RDDR or RGDR presented in the RfC methods, with  
436 the reference value (i.e., the adult data) in the numerator. Thus, a ratio larger than 1 means that  
437 children receive a higher dose, and a ratio greater than 1 means that the average child is predicted

438 to receive a higher dose than the average adult. The implications of the derived ratios for the  
439 adequacy of the default uncertainty factor are addressed in the Discussion.

440

#### 441 **4.1 Particles**

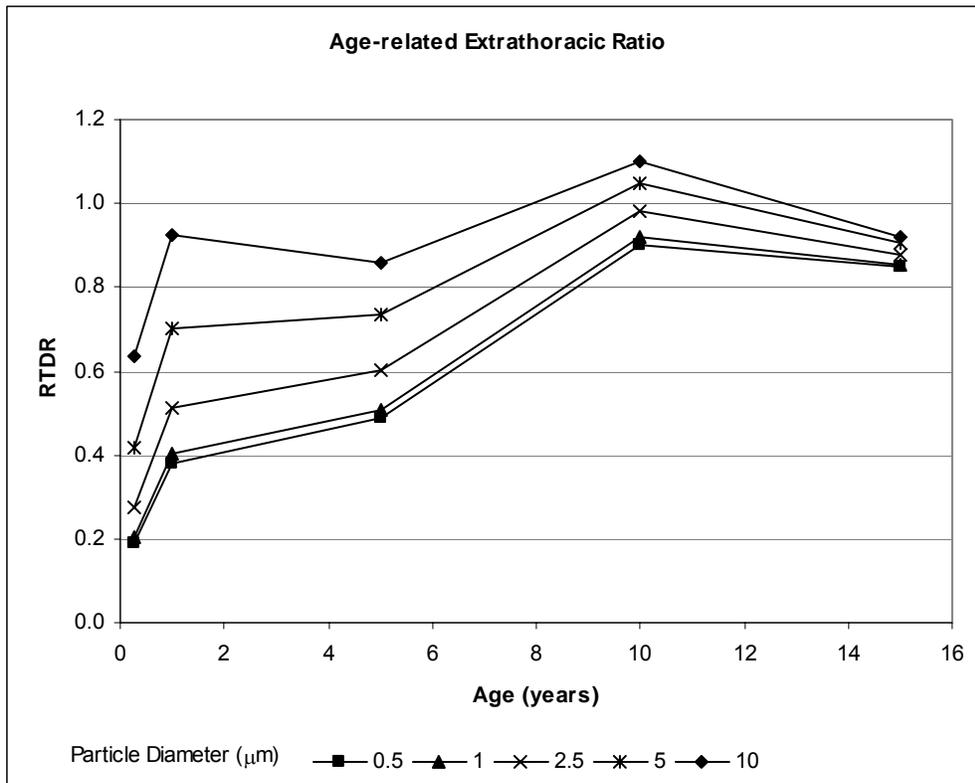
442

443 Particle RTDRs were calculated by respiratory tract region using the methods described  
444 in the RfC guidelines (EPA 1994), and using the age-specific surface areas and minute volumes  
445 developed by Stanek et al. (2004) from the ICRP (Valentin 2002) data. All ratios are calculated  
446 as the deposition in child relative to the deposition in a 30-year-old adult. For the purpose of  
447 illustration, calculations were conducted for selected particle diameters in the range of 0.5  $\mu\text{m}$  to  
448 10  $\mu\text{m}$ . The estimated results for the extrathoracic region, tracheobronchial region, pulmonary  
449 region, total respiratory tract, and extrarespiratory effects are summarized in Figures 2-7. Note  
450 that these analyses were all conducted using deposited dose and either regional surface area (for  
451 the ET, TB, PU and total respiratory tract [RT]) or body weight (for extrarespiratory effects) as  
452 the normalizing factor; the implications of clearance and considerations of retained dose are  
453 addressed in the Discussion.

454

455

456 Figure 2. Particle Deposition Ratio in the Extrathoracic Region  
457



458  
459

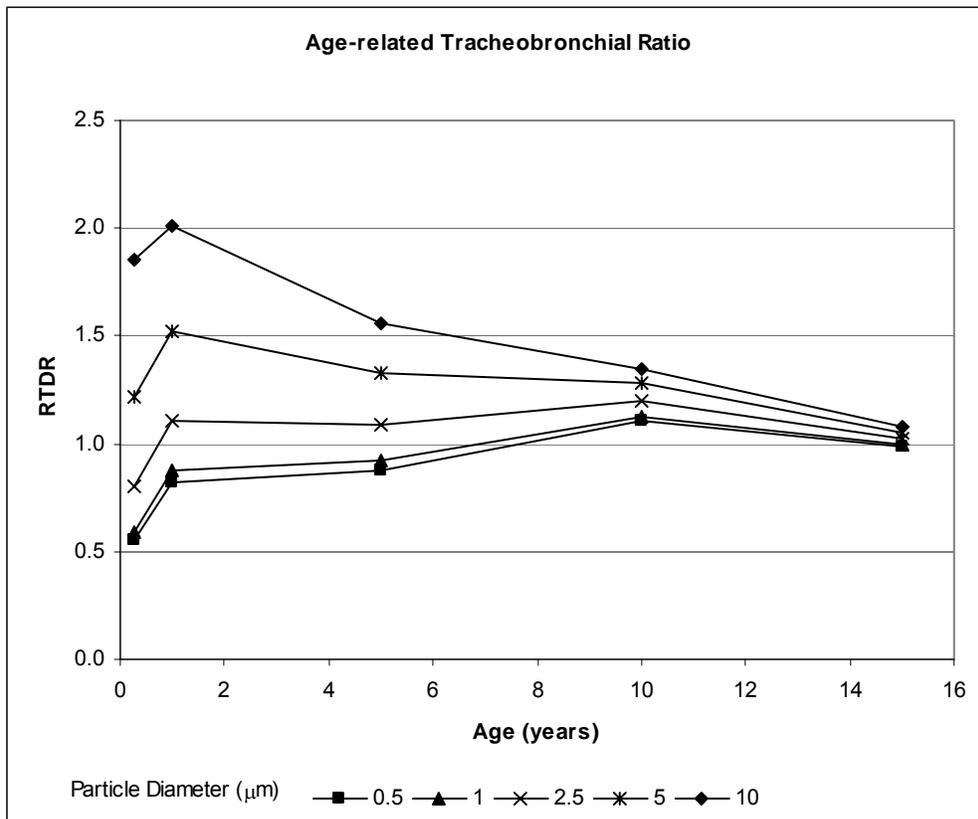
460 Figure 2 shows the age-related RTDR in the extrathoracic (ET) region. As shown in  
461 Figure 2, no age group has an RTDR greater than 1.1. This means that the particle deposition in  
462 the extrathoracic region of children is calculated to be less than or comparable to that of adults.  
463 For all of the particle sizes evaluated (0.5 to 10 μm), the amount of particle deposition in the ET  
464 region is less for younger children than for adults. These results are consistent with the biology  
465 and physics of particle deposition in the extrathoracic region of the airway. In this region,  
466 airflow speed is high and inertial impaction is the main mechanism of particle deposition.  
467 Impaction describes the tendency of the particle to deposit at the airway bifurcation due to  
468 inertial impaction and is positively related to the particle size and flow rate. The larger the  
469 particle size, the more deposition that occurs due to impaction. Since the  $V_e/SA$  ratio for the  
470 extrathoracic region is comparable among various age groups (see Table 1), the particle  
471 deposition in this region also depends on the airflow rate which is estimated by  $V_e/30$  (rather  
472 than on the  $V_e/SA$  ratio). Younger children have a much lower air flow rate (e.g.,  $V_e=2$  L/min

473 in 3-month old children) than adults (e.g.,  $V_e=14$  L/min); therefore, younger children have less  
 474 particle deposition in this region than adults. As shown in the Figure, the effect becomes more  
 475 dramatic for smaller particles, where the significantly higher air flow rate in adults can cause  
 476 impaction of a greater portion of smaller particles than can be impacted at the low flow rate in  
 477 children. In addition, the overall mass of particle deposition was also higher for larger particle  
 478 sizes than for smaller particles for both adults and children (data not shown). This reflects the  
 479 fact that impaction is significantly influenced by the particle size, and so a significant percentage  
 480 of large size particles (e.g.,  $10\ \mu\text{m}$ ) will deposit in this region, whereas only a negligible  
 481 percentage of the small size particles (e.g.,  $0.5\ \mu\text{m}$ ) will deposit in this region.

482

483 Figure 3. Particle Deposition Ratio in the Tracheobronchial Region

484



485

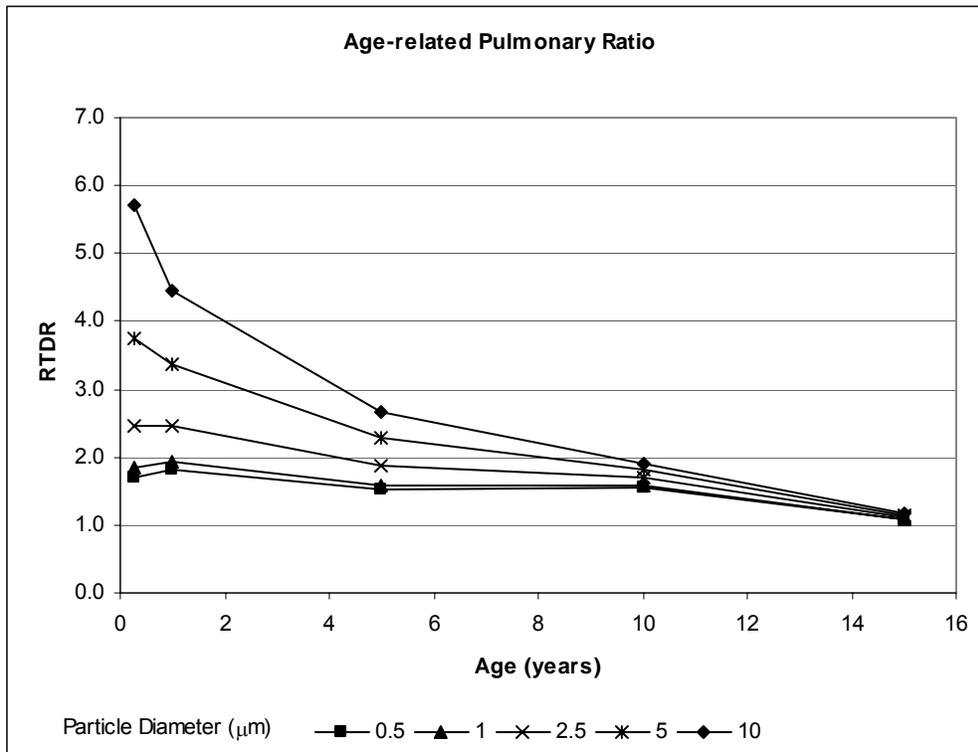
486

487 As shown in Figure 3, the dose deposited in the tracheobronchial region of young  
 488 children (3 months and 1 year) is about 1.5- to 2.0-fold higher than the dose deposited in adults  
 489 for particle sizes  $\geq 5\ \mu\text{m}$ . Regardless of the age, the RTDR is higher for the larger particles than

490 the small ones. Because the main mechanism of particle deposition in this region is  
491 sedimentation (as compared with impaction being the primary mechanism in the ET region), the  
492 higher deposited dose in young children for large particle sizes appears to be due to a  
493 combination of two factors. The first factor is the amount of material that passes through the ET  
494 region and is available for deposition in the TB region. As described above, a higher percentage  
495 of particles is deposited in the ET region of adults than children. Although the RTDR for the ET  
496 region for smaller particles is less than that for the large particles, the absolute amount of  
497 deposition of the small particles in the ET region (i.e., not relative to adult) is insignificant. This  
498 means that the effect of the filtering in the ET region is much more important for the larger  
499 particles than for the smaller ones, and is insignificant for the 0.5  $\mu\text{m}$  particles. The lower ET  
500 deposition in children means that more particles are available for deposition in the  
501 tracheobronchial region in young children than in adults. The second factor is the  $V_e/SA$  ratio in  
502 the TB region among the different age groups. For example, the  $V_e/SA$  ratio in 3-month-old  
503 children (2.8) is about half that of 30-year-old adults (5.3), leading to less impaction at airway  
504 bifurcations, and tending to reduce deposition in the TB region. Together, these two opposing  
505 factors result in RTDR values between 0.5 and 1.9 for 3-month-old children, depending on the  
506 particle size. Similar considerations apply for children of other ages.

507

508 Figure 4. Particle Deposition Ratio in the Pulmonary Region  
509



510  
511

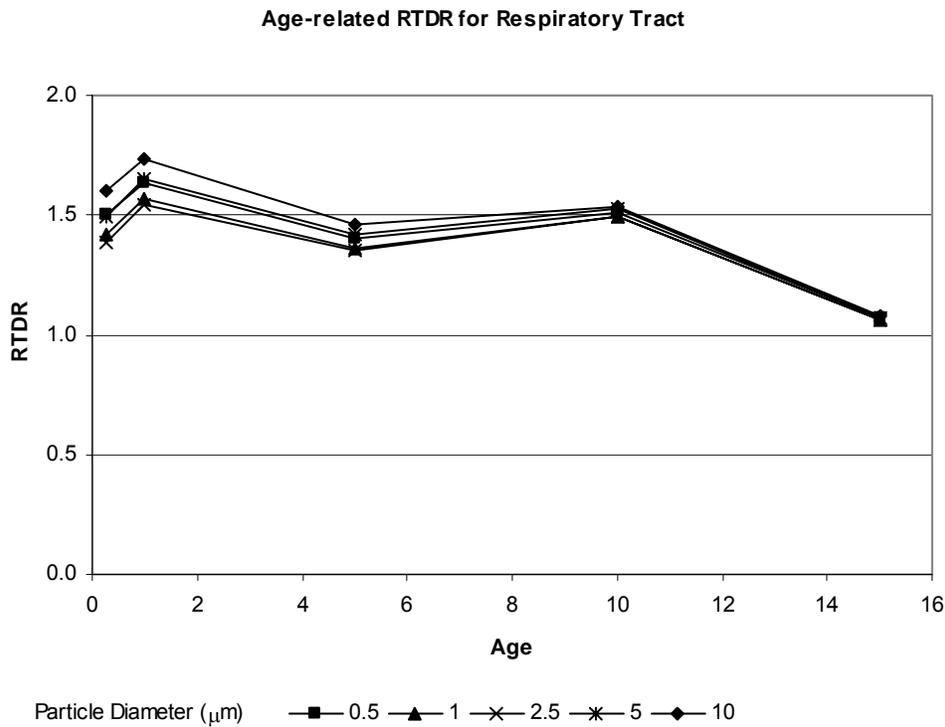
512 Figure 4 shows the age-related RTDR in pulmonary region. As shown in this figure,  
513 particle deposition doses depend on the age and particle size. The youngest children receive the  
514 highest deposited dose. The largest estimated ratio is a 5.7-fold higher dose in 3-month-old  
515 children exposed to a particle size of 10 μm, compared to adults. Ratios greater than 3 were  
516 estimated for children up to about 4 years exposed to 10 μm particles, and children up to about 3  
517 years exposed to 5 μm particles. This observation may be due to a high  $V_e/SA$  ratio in young  
518 children in this region. For example, the  $V_e/SA$  ratios are roughly 2-fold higher in 3-month and  
519 1-year old children (0.02) than in adults (0.01) (see Table 1). As for the extrathoracic and  
520 tracheobronchial regions, the RTDR increases with particle size. This reflects the size-related  
521 differences in the implications of impaction in the ET region. Regardless of the age, the RTDR  
522 is higher for the larger particles than the small ones. This reflects the effect of removal in the ET  
523 region by impaction (as described for the TB region), plus the higher  $V_e/SA$  ratio in young  
524 children. Unlike the TB region where these two factors acted in opposite directions, the two

525 factors act in the same direction in the PU region, leading to larger values for the RTDR for large  
526 particles at young ages.

527

528 Figure 5. Particle Deposition Ratio in the Entire Respiratory Tract

529



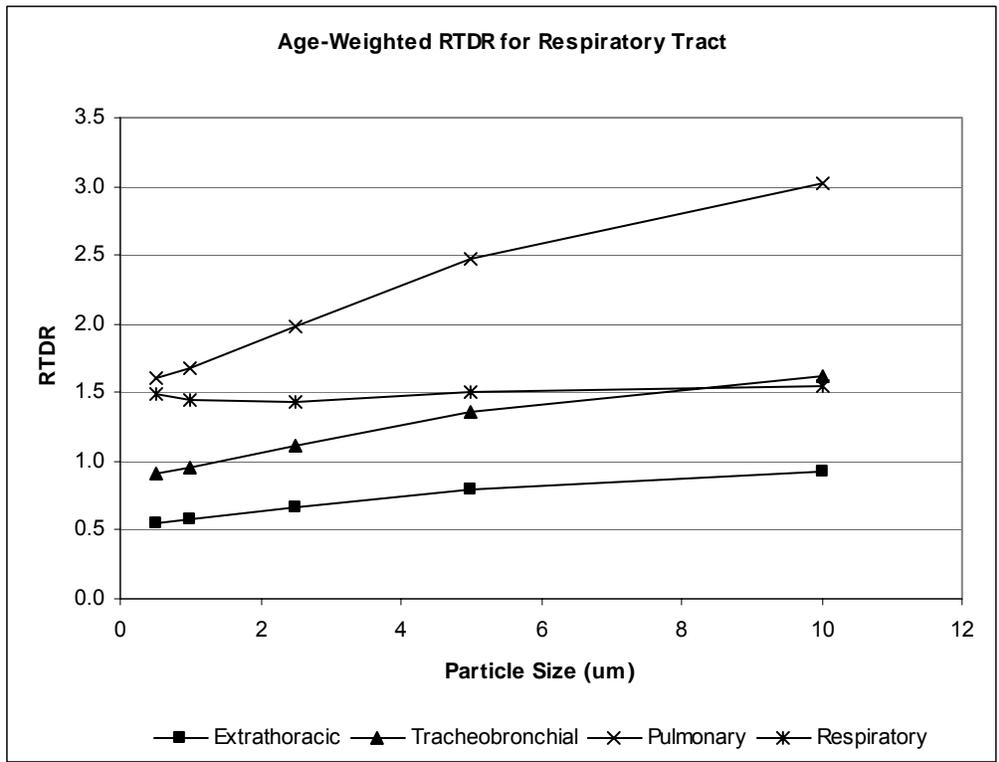
530

531

532 Figure 5 shows the overall particle deposition in the respiratory tract (including the  
533 extrapulmonary, tracheobronchial, and pulmonary regions). Total respiratory tract deposition in  
534 children was about 1.5- to 1.7-fold higher in children than in adults. This observation is  
535 consistent with the observation of relatively high  $V_e/SA$  in the overall respiratory region in  
536 young children relative to adults and the previous suggestion that on a body-weight basis, the  
537 volume of air passing through the lungs of a resting infant is twice that of a resting adult under  
538 the same conditions and, therefore, twice as much of any chemical in the atmosphere could reach  
539 the lungs of an infant (EPA 2002).

540

541 Figure 6. Time-Weighted (10-year) Average Particle Deposition Ratio in the Respiratory Tract  
542



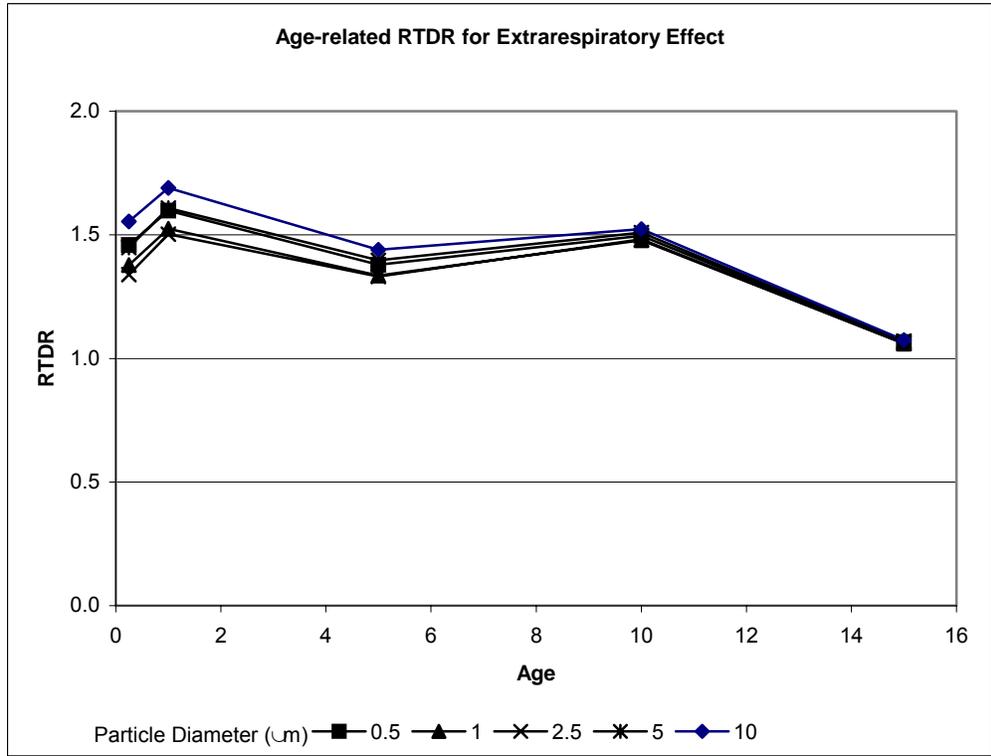
543  
544  
545 As an initial step in evaluating the impact of age-specific differences in RTDR on the  
546 effects of longer-term exposure, an age-weighted RTDR for the various regions of the respiratory  
547 tract was calculated for the first 10 years of life. The results plotted against particle size are  
548 shown in Figure 6. As indicated in Figure 6, the dose deposited in each respiratory tract region is  
549 related to particle size. Even after calculating the age-weighted average, the deposited dose in  
550 the pulmonary region of children is twice the adult value for particles of 2.5  $\mu\text{m}$  and higher, and  
551 reaches a ratio of 3 times the adult value for the largest particle size evaluated (10  $\mu\text{m}$ ). The over  
552 all particle deposition in the respiratory tract is about 1.5-fold the adult value. In the TB region,  
553 the age-weighted average deposition ranged from comparable to that in adults for smaller  
554 particles, to approximately 1.5-fold the adult value for larger particles. In the ET region, the age-  
555 weighted average deposition in children is comparable to or lower than the adult value. These  
556 ratios provide insight into the relative amount of deposition when longer time frames are taken  
557 into account. Note, however, that retained dose is generally a more appropriate dose metric than

558 deposited dose for these longer-term exposures, and clearance mechanisms become important in  
559 determining the retained dose. Implications of clearance are addressed in the Discussion.

560

561 Figure 7. Extrarespiratory Dose Metric Ratio for Particle Inhalation

562



563

564

565 According to the RfC guidelines (EPA 1994), the default normalizing factor for  
566 extrarespiratory (ER) effects is body weight, and dosimetric adjustments across species are based  
567 on the mass of particles per unit body weight. As noted in the guidelines, this approach assumes  
568 that all of the material deposited in the respiratory tract is available for uptake and systemic  
569 circulation. Thus, age-related differences in respiratory tract clearance or in dissolution of the  
570 material and absorption from the respiratory tract are not included. This approach also does not  
571 take into account the effect of age-related differences in the kinetics of clearance from the body.  
572 However, analysis of the RTDRs calculated using body weight as a normalizing factor are  
573 presented in Figure 7 as a first step in considering age-related differences in tissue dose for  
574 extrarespiratory effects of inhaled particles. As shown, all estimated RTDRs were  $\leq 1.7$ , and the  
575 differences with particle size were lower than seen for the various regions of the respiratory tract.

576 This result is reasonable, since minute volume is positively related to body weight, and minute  
577 volume and body weight enter the calculation of ER dose as inverses of each other. In addition,  
578 the ratios shown here are very similar to those for the entire respiratory tract (RT, Figure 5).  
579 This is because the calculations for the RT and extrarespiratory differ only in that the weighting  
580 factor for the RT is surface area, while the weighting factor for ER effects is body weight. Since  
581 these two weighting factors are positively related, the resulting RTDRs are very similar. The  
582 analysis for ER effects could be enhanced by taking into account absorption of the chemical, as  
583 well as the types of considerations addressed in the context of Category 3 gases and oral dosing.  
584

## 585 **4.2 Gases - Introduction**

586  
587 The general approach used to evaluate vapors and gases used the gas categorization  
588 approach in EPA's RfC methods (EPA 1994). In those methods, Category 1 gases are defined as  
589 gases that are highly water-soluble and/or rapidly irreversibly reactive in the respiratory tract.  
590 Category 2 gases are defined in the RfC methods as moderately water soluble and rapidly  
591 reversibly reactive or moderately to slowly irreversibly metabolized in respiratory tract tissue.  
592 Category 3 gases are defined in the RfC methods as relatively water insoluble and not reactive in  
593 the airways of the lung, and these gases are absorbed into the systemic circulation. Due to some  
594 inconsistencies in the calculations for Category 2 gases in the RfC methods, Category 2 gases are  
595 currently treated in practice as either Category 1 gases or Category 3 gases, depending on the  
596 target (Jarabek, personal communication). Therefore, this document presents methods for (1)  
597 respiratory effects, using the Regional Gas Dose (RGDr), and for (2) extrarespiratory effects.  
598 Several different methods were used to evaluate age-specific differences in tissue dose for  
599 extrarespiratory effects.

600

## 601 **4.3 Category 1 Gases**

602

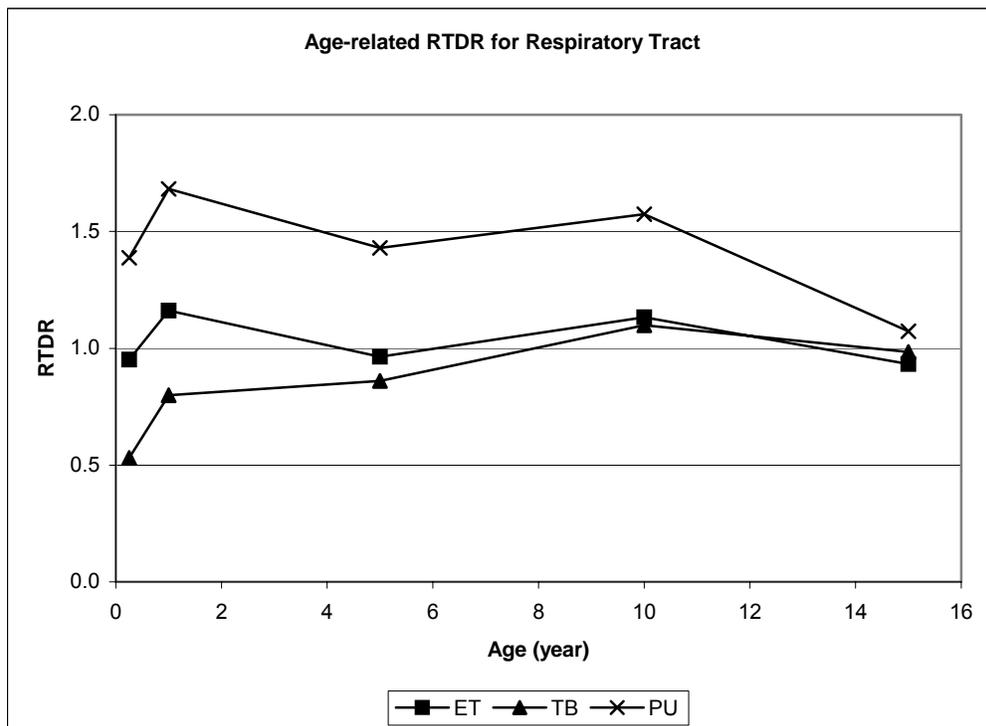
603 To estimate age-related differences in regional respiratory tract dose following the  
604 inhalation of Category I vapors, RTDRs were calculated for the three regions of the respiratory  
605 tract, using the age-related physiological parameters developed by Stanek et al. (2004). As  
606 shown in Figure 3, the results indicate that the dose to the tracheobronchial region in children is

607 lower than or comparable to the dose in adults. In contrast, children up to 10 years of age  
608 receive a slightly higher dose (<2-fold) in the pulmonary region. There is no substantial  
609 difference in the regional dose received in the extrathoracic region among various age groups.  
610 This pattern of regional gas dose is consistent with the ratios of minute volume to regional  
611 surface area ( $V_e/SA$ ) in the corresponding age groups.

612

613 Figure 8. Category I Vapor Dosimetry

614



615

#### 616 4.4 Category 3 Gases

617

618 Category III vapors are relatively water insoluble and not reactive in the airways of the  
619 lung, and these gases are absorbed into the systemic circulation (EPA 1994). This systemic  
620 absorption and availability of the chemical clearly adds several complicating aspects. Since the  
621 chemical exerts its toxic action at a site remote from the respiratory tract, absorption,  
622 distribution, metabolism, and excretion can all possibly play a major role.

623

624 Because of the potential for competing roles of metabolism and excretion, a PBPK model  
is desirable to quantitatively address adult:child toxicokinetic differences. However, additional

625 approaches may be needed when there are insufficient resources to develop or adequately  
626 parameterize a model for adults and children, or to run such a model. In such cases, one can  
627 make additional comparisons based on steady state tissue levels. The EPA RfC methods use a  
628 similar approach in evaluating kinetic differences between animals and humans, requiring that  
629 “periodicity” be reached in animal studies for most (i.e., at least 90%) of the study duration (EPA  
630 1994). (Periodicity means that the concentration vs. time profile is the same each week.) Using  
631 the EPA methods, the ratio of blood:air partition coefficients in animals to that in humans is used  
632 as a surrogate for target tissue dose. As an initial approach for evaluating age-dependent kinetic  
633 differences, partition coefficients were calculated based on the physiology of different age  
634 groups, and the ratio of the blood:air partition coefficients in adults and children was used to  
635 predict differences in steady-state kinetics. Further steady-state analysis of physiologically-  
636 based pharmacokinetic model equations was also conducted to assess the impact of age-  
637 dependent metabolism parameters.

638         The following assumptions were made to apply steady-state approaches. First, it was  
639 assumed that the chemicals of interest reach a steady-state level in the blood rapidly. That is, the  
640 time to steady-state is short relative to the age range of interest or developmental window of  
641 susceptibility. For example, if the developmental period of concern is 3 months in length, and  
642 we want to be at steady-state for 90% of the interval, then the concentration in blood must reach  
643 steady-state by 9 days. This condition must be met for the chemical to be at steady state long  
644 enough to be able to apply steady state approaches. Second, it was assumed that the steady-state  
645 arterial blood concentration of the parent chemical is an appropriate dose metric for toxicity.  
646 This means that the parent chemical, not a metabolite, is the toxic form, and that alternative  
647 metrics such as peak concentration in the blood are not appropriate dose metrics. If an  
648 alternative dose metric applies, or the metabolite is the toxic form, calculations based on the  
649 parent may be erroneous. These first two assumptions are analogous to those described in the  
650 RfC methods for Category 3 gases. Finally, it was assumed that the exposure period of interest  
651 is comparable to or shorter than the duration of the age range of interest, or the critical effect  
652 related to the window of susceptibility. The last assumption is specific to the current analysis  
653 and is related to the relevance of short-term peaks in the concentration-time profile to chronic  
654 toxicity and average lifetime or cumulative exposure. This issue is addressed in greater detail in  
655 the Discussion.

656 As described in the Methods section, age-dependent blood:air partition coefficients were  
657 computed using the physiologically-based prediction algorithm of Poulin and Krishnan (1996b).  
658 The lipid and water content for each age group is shown in Table 2. Table 3 shows age-  
659 dependent blood:air partition coefficients calculated using the algorithm of Poulin and Krishnan,  
660 chemical-specific octanol:air, water:air, and blood:air partition coefficients experimentally  
661 measured by Gargas et al. (1989). As shown, the estimated partition coefficients are very similar  
662 across age groups. Analogously to the approach described for Category 1 gases, the ratio of the  
663 partition coefficient in children to the partition coefficient in adults is an estimate of the  
664 difference in sensitivity, with numbers larger than 1 indicating that children are more sensitive.  
665 Note that this approach is the inverse of the factor used to calculate an HEC. Using this  
666 approach, the differences in blood concentration between children and adults were minimal. In  
667 addition, where there are larger differences, such as between adults and newborns for m-xylene,  
668 the partition coefficient for the adult is larger than for the child, indicating a lower tissue dose  
669 (based on this metric) for young children. The minimal difference calculated using this approach  
670 is primarily a result of similar lipid content of the blood across the age groups, particularly  
671 beginning at six months of age. Given the small amount of variability in lipid content among the  
672 different ages, the variability in lipid content due to other factors will likely dominate differences  
673 in partitioning across the population. Blood lipid values can be affected by obesity, and short-  
674 term levels can be elevated by consumption of a high-fat meal (Lin et al. 2002).

675 Comparison of the partition coefficients estimated for adults using this method with those  
676 measured in adult blood by Gargas et al. (1989) indicates that the Poulin and Krishnan algorithm  
677 systematically underpredicts the partition coefficients. This underprediction ranges from 20 to  
678 60% of the measured value. This difference between predicted and measured partition  
679 coefficients is likely to be due to the effect of binding to plasma proteins, as described by various  
680 investigators (Lerman et al. 1984; Poulin and Krishnan 1996a; Fouchecourt et al. 2001). Lerman  
681 et al. (1984) studied the partitioning of anesthetics in the blood of newborns, children (3-7 years  
682 old), and adults. Partitioning was found to be directly correlated with albumin and globulin  
683 levels in blood, with greater blood:air partitioning in adults compared to children. Poulin and  
684 Krishnan (1996a) attributed the underprediction of partition coefficients for lipophilic VOCs to  
685 reversible binding to hemoglobin. Fouchecourt et al. (2001) indicated that rat blood:air partition  
686 coefficients of low molecular weight VOCs may be underpredicted by a factor of four when

687 protein binding is ignored. However, binding to plasma proteins is unlikely to increase the *ratio*  
688 of child to adult partition coefficients because adults have slightly higher serum concentrations  
689 of albumin and globulin (Lerman et al. 1984), and slightly lower concentrations of total protein  
690 in whole blood (White et al. 1991). Higher protein content would increase the partition  
691 coefficient, but the small difference in protein content is unlikely to affect the partition  
692 coefficient (relative to adults) by more than a few percent. Overall, this initial analysis based on  
693 partition coefficients alone indicates that the default factor of 3.2 is sufficient to address  
694 differences in tissue dose. While this initial analysis is somewhat simplistic, an important  
695 conclusion is that age-related differences in partition coefficients are unlikely to be a significant  
696 determinant of tissue dose.

697 A more flexible steady-state approach (Pelekis et al. 1997; Sarangapani et al. 2003;  
698 Clewell et al. 2004) was used to evaluate the steady-state concentration of volatile chemicals in  
699 blood. Because this approach requires chemical-specific data (both the blood:air partition  
700 coefficient and the hepatic extraction ratio [the fraction of chemical delivered to the liver that is  
701 metabolized]), a bounding analysis was used to estimate the relative steady-state arterial blood  
702 chemical concentrations in adults and children. The steady-state equation provided in the  
703 Methods section was evaluated using adult parameter values for the liver blood flow and a  
704 hepatic extraction ratio of 1, and then using child-specific values for liver blood flow and a  
705 hepatic extraction ratio of 0, representing the maximum possible difference resulting from  
706 metabolic differences between the child and adult. The assumptions implicitly required to use  
707 these values with the steady-state equation are that 100% of the chemical that reaches the liver of  
708 the adult is metabolized, while the child liver is unable to metabolize the chemical, and that  
709 clearance in the child results solely from exhalation, while other elimination routes (e.g., extra-  
710 hepatic metabolism or renal excretion) are ignored. Based on the analysis of age-specific  
711 blood:air partition coefficients presented above, our worst-case steady-state analysis assumed  
712 that partition coefficients were constant with respect to age. The blood:air partition coefficient  
713 was then varied to examine the relationship between blood:air partitioning and the child-to-adult  
714 ratio of steady-state blood chemical concentration.

715 The results of this approach, shown in Figure 9, indicate that for chemicals with a  
716 blood:air partition coefficient less than 11, the child-to-adult difference in parent chemical  
717 concentration will be less than a factor of 3.2 regardless of the degree of metabolic immaturity in

718 the child. For chemicals with higher partition coefficients, chemical-specific (or perhaps  
719 enzyme-specific) data must be obtained to estimate age-specific differences in metabolism, since  
720 these differences may be important. No systematic review of partition coefficients was located.  
721 However, in one significant compilation (Gargas et al. 1989), 14/36 (39%) volatile organic  
722 chemicals had human blood:air partition coefficients greater than 11. This list was not, however,  
723 random, and included several closely-related compounds, so it is unlikely that this high  
724 percentage is representative of the universe of volatile organic compounds. Some examples of  
725 chemicals with blood:air partition coefficients greater than 11 include xylenes; 1- and 2-  
726 nitropropane; 1,1,2,2-tetrachloroethane; and chlorodibromomethane.

727 In addition to the assumptions noted above, note that this steady-state worst-case estimate  
728 does not take age-related differences in clearance into account. Because this worst-case scenario  
729 assumed 100% hepatic extraction in the adult, any capacity for excretion of the parent compound  
730 in the adult would not further decrease the steady-state blood concentration. In contrast, since it  
731 was assumed that no hepatic metabolism occurs in the child, the parent compound may be  
732 excreted unchanged in the child, reducing the steady-state blood concentration. Thus, any  
733 potential for excretion in the child would decrease the age-related difference in steady state blood  
734 concentration. This would mean that the minimum blood:air partition coefficient resulting in a  
735 ratio of 3.2 or greater would be higher, and fewer chemicals would be of concern.

736 Age-dependent enzyme data can be easily incorporated using the steady-state approach  
737 for chemicals that are predominantly metabolized by a single isoform of the enzyme if the parent  
738 is the toxic form. The age-dependent enzyme data can be used to adjust the maximum rate of  
739 metabolism following Clewell et al. (2004):

740

$$741 \quad V_{\text{MaxChild}} = V_{\text{MaxAdult}} * F * V_{\text{LChild}} / V_{\text{LAdult}},$$

742

743 where VMax is the maximum metabolism velocity, VL is the volume of the liver, and F is the  
744 enzyme activity as a fraction of the adult value. This approach was applied to the alcohol  
745 dehydrogenase (ADH) activity data reported by Clewell et al. (2004) and the results are shown in  
746 Figure 10. For this hypothetical case, changes in the blood:air partition coefficient and intrinsic  
747 clearance (CL = VMax/KM) were examined based on analysis of bounding conditions.

748 According to the steady-state equation, if the clearance is much larger than the blood flow to the

749 liver, then the metabolism is flow-limited and the concentration is approximately independent of  
750 clearance:

751

$$752 \quad CA = CI/(1/Pb:a + QLC)$$

753

754 where CA is an approximation of the arterial blood concentration and CI is the inhalation  
755 concentration.

756

757 If the clearance is much smaller than the blood flow to the liver, then the arterial blood  
758 concentration does depend on clearance:

759

$$760 \quad CA = CI/(1/Pb:a + CL/QC)$$

761

762 where QC is the total cardiac output (L/min).

763

764 If the chemical is very poorly metabolized and the clearance is much less than 1, then the  
765 concentration is again independent of clearance:

766

$$767 \quad CA = CI*Pb:a,$$

768

769 although other routes of elimination (e.g., renal excretion) would have to be considered if the  
770 chemical were not metabolized.

771 The results of the steady-state analysis using age-dependent ADH activity are shown in  
772 Figure 10. The 3 month old, the youngest group evaluated, has the greatest difference from the  
773 adult blood steady-state concentration. This is not surprising since the ADH enzyme is at the  
774 lowest levels at birth, and gradually increases to adult levels. The simulation indicates that, for  
775 chemicals metabolized by this enzyme with a partition coefficient greater than approximately 20,  
776 the steady-state concentration in the child may be more than 3 times greater than in the adult.

777 The child:adult steady-state concentration ratio profiles shown in Figure 10 will be  
778 steeper or shallower depending on the hepatic clearance in the adult. Note also that, as shown  
779 above, there is a range for CL, above or below which the steady-state concentration is not

780 dependent on CL. In fact, for a given blood:air partition coefficient, there is a value for the  
781 intrinsic clearance that will maximize the difference in steady-state blood levels between adults  
782 and children. For figure 10, a clearance value of approximately 125 L/hour is used. This value  
783 gives the greatest difference between the concentration in blood of a 3-month-old and an adult  
784 for a chemical with a blood:air partition coefficient of 100. A clearance value of 250 L/hour is  
785 the maximum if the blood:air partition coefficient is 20.

786

787 Table 2. Age-dependent Lipid and Water Content of Whole Blood

788

Age (yr)	% Lipid <sup>1</sup>	% Water <sup>2</sup>
0	0.11	84
1/2 to 2	0.22	87
2 to 6	0.21	87
6 to 12	0.22	86
12 to 18	0.21	86
Over 18	0.22	85

789

790

<sup>1</sup>(Berenson et al. 1982)

791

<sup>2</sup>(Family Practice Notebook, 2005)

792

793

794 Table 3. Calculated Age-dependent Blood:Air Partition Coefficients

795

	Octanol:Air <sup>1</sup>	Water:Air <sup>1</sup>	Ages (years)						Measured Blood:Air <sup>1</sup>	Greatest Positive Relative Difference <sup>2</sup>
			0	0.5-2	2-6	6-12	12-18	>18		
Methyl chloride	8.57	0.88	0.75	0.78	0.78	0.78	0.77	0.77	2.5	1.02
Dichloromethane	131	5.96	5.2	5.5	5.5	5.4	5.4	5.3	8.9	1.02
Chloroform	402	3.38	3.3	3.8	3.8	3.8	3.8	3.7	6.9	1.03
Carbon tetrachloride	374	0.35	0.7	1.1	1.1	1.1	1.1	1.1	2.7	1.03
Chlorodibromomethane	2683	7.34	9.1	12	12	12	12	12	53	1.03
Chloroethane	38.9	1.09	1.0	1.0	1.0	1.0	1.0	1.0	2.7	1.02
1,1-Dichloroethane	186	2.45	2.3	2.5	2.5	2.5	2.5	2.5	4.9	1.02
1,1,2-Trichloroethane	1776	13.3	13	16	15	15	15	15	36	1.03
Benzene	465	2.75	2.8	3.4	3.4	3.4	3.3	3.3	8.2	1.03
Chlorobenzene	2188	2.81	4.8	7.3	7.1	7.1	7.0	7.1	30	1.03
o-Xylene	3534	2.65	6.1	10	10	10	10	10	35	1.03
m-Xylene	3245	1.92	5.2	8.9	8.6	8.7	8.5	8.6	33	1.03
p-Xylene	3319	1.77	5.1	8.9	8.6	8.7	8.5	8.7	45	1.03

796

797 <sup>1</sup>Gargas et al. (1989)

798 <sup>2</sup>The *positive* difference is highlighted in this table since an increase in blood:air partition  
 799 coefficient would increase the steady-state blood concentration, while a smaller blood:air  
 800 partition coefficient would lead to a smaller steady-state concentration.

801

802

803

804

805

806 Figure 9. Bounding Estimate of Ratio Between Steady State Arterial Blood Concentration in  
807 Child and Adult Hepatic Extraction 100% in Adult and 0% in Child

808



809

810

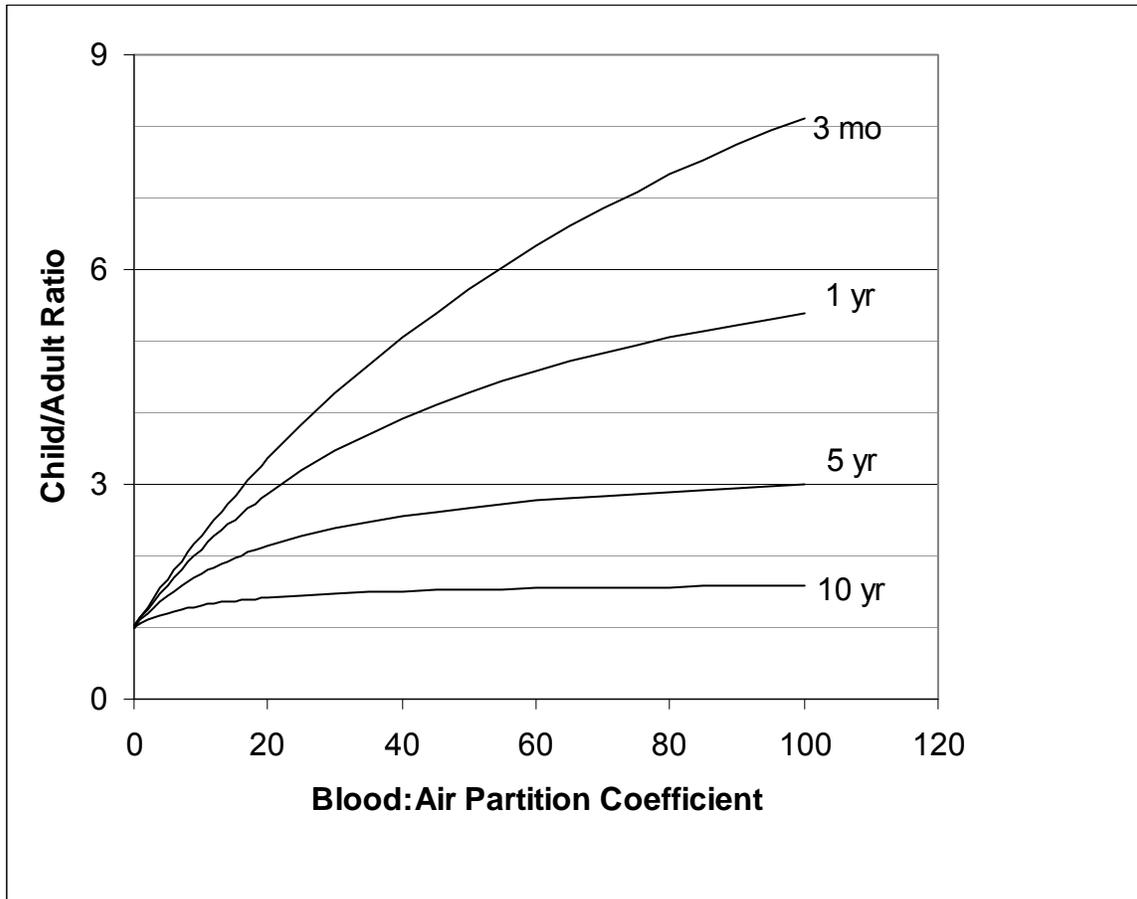
811

812

813 Figure 10. Ratio of Steady-State Concentration in Blood of Children to Adults Child Hepatic

814 Clearance Scaled by % of Adult ADH Activity

815



816

817

818

819

820

821

822 **4.5 Oral Route**

823

824 In light of the absence of a developed dosimetric approach for the oral route, the report  
825 has not attempted to conduct detailed analyses for oral exposures. However, consideration of the  
826 key determinant of tissue dose can assist in such analyses. For example, for chemicals that are  
827 very highly absorbed, widely and evenly distributed throughout the body, very poorly  
828 metabolized, and completely excreted in urine, the renal clearance will drive the steady state  
829 concentration in the blood. In general, hydrophilic chemicals are excreted in urine, while  
830 lipophilic chemicals are not. Urinary excretion is driven by three processes, glomerular  
831 filtration, tubular secretion, and tubular resorption. Filtered chemicals are low molecular weight,  
832 small diameter, neutral, lack significant protein binding, and are not affected by tubular secretion  
833 or tubular resorption. Tubular secretion and resorption are important for many organic chemicals  
834 and for electrolytes, but glomerular filtration is the key determinant for many inorganics, such as  
835 boron (Zhao et al. 1999).

836 Sarangapani et al. (2003) tabulated age-dependent GFR values that may be used to  
837 predict the kinetic differences between children and adults for chemicals excreted via glomerular  
838 filtration. Under the conditions listed above, these ratios may be used as a surrogate for the  
839 steady-state concentration ratios between children and adults. That is, the ratio is approximated  
840 by the adult GFR divided by the child GFR. Using this approximation and the data in Table 4,  
841 the difference in steady-state concentration in the blood of children under 5 years old and adults  
842 is more than 3-fold, and children aged 5 years or more are within a factor of 3 compared to  
843 adults.

844

845 Table 4. Age-specific GFR (fraction of adult value)

846

	<b>GFR</b>	
<b>Age</b>	<b>Male</b>	<b>Female</b>
1 mo	0.126	0.134
3 mo	0.145	0.152
6 mo	0.171	0.178
1 yr	0.209	0.218
5 yr	0.336	0.364
10 yr	0.556	0.619
15 yr	0.841	0.907
25 yr	1	1

847

848 For chemicals that may be excreted via tubular secretion or reabsorbed in the tubules,  
 849 little can be done to predict differences in renal clearance between adults and children without  
 850 urinalysis. If there is no tubular resorption, the renal clearance can range from the GFR to the  
 851 renal blood flow, depending on the extent of secretion. If resorption occurs as well, then the  
 852 clearance can range from 0 to the renal blood flow. These bounds are too wide to be useful for  
 853 predicting age-specific differences.

854 The analysis of age-specific GFR to predict kinetic differences ignores the differences in  
 855 plasma protein binding between adults and children. Only unbound chemical can be removed by  
 856 glomerular filtration, and the renal clearance is modified by multiplying the GFR by the fraction  
 857 of chemical that is not bound to plasma proteins. Miller et al. suggest that reduced plasma  
 858 protein binding in newborns is due to reduced affinity of albumin for acidic drugs and lower  
 859 levels of globulins and lipoproteins in the plasma of newborns, though protein levels reach adult  
 860 values by 1 year of age (Miller et al. 2002). White et al. (1991) found slightly higher total  
 861 protein content in the blood of newborns compared to adults, but measured whole blood rather  
 862 than plasma. Lerman et al. (1984) measured slightly less albumin and globulin in the serum of  
 863 newborns and 5-year-old children. The reduced binding in newborns would offset the effect of  
 864 reduced GFR in the first years of life, so using GFR without considering the fraction bound is a  
 865 reasonable approach.

866

867 **5. DISCUSSION**

868

869 Age-related differences in tissue dose from inhalation exposure to chemicals have been  
870 evaluated here using the RfC dosimetry for respiratory tract effects, and using the steady-state  
871 assumption for systemic effects. These results can be used to identify (1) conditions (e.g.,  
872 chemicals, particle sizes, exposure durations, ages) where the default uncertainty factor for  
873 human kinetics is sufficient to protect children, (2) conditions where the default factor does not  
874 appear to be sufficient, and (3) conditions where further analysis is needed.

875 The child:adult ratios developed in the Results section can be used for comparisons with  
876 the default uncertainty factor. However, one first needs to consider the scenarios for which the  
877 analyses apply. First, the ratios derived here are most relevant for exposures that are generally  
878 comparable to or shorter than the duration of the age range of interest. This is because  
879 cumulative doses due to lifetime exposure to low doses of environmental chemicals are fairly  
880 insensitive to age-related kinetic differences, because the greatest differences persist for only a  
881 short time (Pelekis et al. 1997; Clewell et al. 2004). For example, calculation of time-weighted  
882 average dose shows that a tripling of the tissue dose (for a given intake) for the first 6 months of  
883 life relative to adults would increase the cumulative (lifetime) dose by less than 2%, while a  
884 tripling of the dose for 2 years would increase the cumulative dose by only 6%. Thus, if toxicity  
885 for the relevant endpoint is related to cumulative dose, these increases in tissue dose would not  
886 have a significant effect on risk, unless a window of susceptibility coincided with the period of  
887 increased dose. Therefore, the ratios and framework developed here are primarily relevant to  
888 shorter-term exposure values, including acute inhalation values such as Acute Exposure  
889 Guidance Limits (AEGs), Acute Respiratory Exposures (AREs) and California's Acute  
890 Reference Exposure Levels (RELs); Drinking Water Health Advisories; and ATSDR Minimum  
891 Risk Levels (MRLs) for acute and intermediate exposure.

892 The second type of situation where the analyses developed here are relevant is when there  
893 is a window of increased susceptibility. While differences in response to a given tissue dose are  
894 accounted for in the toxicodynamic component of the intraspecies uncertainty factor, differences  
895 in tissue dose for a given intake also need to be accounted for in order to ensure that children are  
896 protected.

897

## 898 **5.1 Implications and Issues for Particles**

899

900           The results of the analyses in Section 4 can be used to evaluate the adequacy of the  
901 default human kinetic uncertainty factor for protecting children, but there are several  
902 considerations in doing so. The age-weighted RTDR data shown in Figure 6 are relevant for  
903 longer-term exposures, suggesting that particular attention should be paid to effects in the  
904 pulmonary region for large (e.g., 10  $\mu\text{m}$  and larger) particles. A more definitive result for  
905 longer-term exposure would require taking clearance into account. The analysis in this report  
906 was based solely on deposition, and did not take into account clearance from the respiratory  
907 tract, and the resulting impact on retained dose. While deposition may be a key determinant of  
908 toxicity following acute exposure, chronic pathogenic processes are more likely to be a function  
909 of the dose retained in the respiratory tract. For insoluble particles, particle clearance occurs via  
910 the mucociliary escalator, and mucous velocity is a key determinant. Soluble and partially-  
911 soluble materials present an additional complication for calculating tissue dose, since dissolution  
912 processes will also contribute to clearance from the respiratory tract and systemic exposure.  
913 While it is reasonable to expect comparable dissolution rates across ages, other aspects of  
914 clearance from the lung (e.g., via alveolar macrophages) and the local or systemic kinetics (e.g.,  
915 metabolism) of the material may exhibit age-related differences. These issues were not further  
916 explored in this project, but are considered as part of the overall framework discussed below.

917           Dosimetry calculations for this project were also conducted using the Multiple Path  
918 Model of Particle Dosimetry (MPPD).<sup>3</sup> MPPD is a sophisticated dosimetry model that has  
919 advantages over the RDDR program. However, we found uncertainties associated with MPPD.  
920 Initial calculations of age-specific deposition fractions for different size particles in the three  
921 respiratory tract regions revealed a consistent trend with age for deposition in the  
922 extrarespiratory region up through 14 years of age, but a large jump between the deposition  
923 fraction for the 14-year-old and the 21-year-old adult. For example, for 0.5  $\mu\text{m}$  particles, the  
924 fractional deposition in children at ages 3 months to 14 years ranges from 0.12 to 0.11, while the  
925 value for the 21-year old adult is 0.048. For 10  $\mu\text{m}$  particles, the fractional deposition in children  
926 ranges from 0.22 to 0.32, compared with 0.875 in the adults. This difference was traced to the

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<sup>3</sup>Version 1.0, © CIIT and RIVM, 2002. Obtained from B. Asgharian, CIIT.

927 fact that the model was developed based on different data sets for calculating ET deposition in  
928 children and adults, and there appear to be some systematic differences in the data sets  
929 (Asgharian et al. 2004). Because the RTDR values are relative to adult deposition, an  
930 inconsistency with the adult value precludes the calculation of reliable ratios. Similarly, because  
931 the TB and Pu deposition fractions depend on how much material passes through the ET region  
932 without being deposited, we also considered the calculations for the TB and Pu regions to be  
933 insufficiently reliable. Therefore, while the MPPD model is mechanistic, and thus has strong  
934 advantages over the empirically-derived RDDR program used for the current analyses, the  
935 RDDR particle deposition calculations were used in this analysis.

936 An additional advantage of MPPD is that it also has the potential for calculating  
937 clearance, allowing calculation of retained dose. Including information on clearance would be a  
938 valuable enhancement of the current work, and would be important for addressing the  
939 implications of longer exposure durations. However, calculating retained dose with MPPD 1.0 is  
940 limited by two factors. First, clearance calculations in version 1.0 of the MPPD software are  
941 based only on measurements of clearance in the TB region (Asgharian, personal  
942 communication). More sophisticated approaches, such as that used by Jarabek et al. (2005), are  
943 needed to address pulmonary clearance. Second, mucous velocity data are available only for  
944 adults. Jarabek et al. (2005) noted that age-related changes in mucous velocity have been  
945 observed in Beagle dogs, and that data on mucous velocities in children are needed to evaluate  
946 the impact of clearance and mechanistically characterize human variability. As an initial step  
947 towards addressing longer-term exposures, it may be useful to further evaluate the limited data  
948 available on age-related changes in mucous velocity, and attempt to extrapolate from those data,  
949 in order to evaluate the adequacy of the human kinetic variability subfactor based on retained  
950 dose.

951 In the RfC approach used for this analysis, the filtration of particles is estimated using an  
952 empirical efficiency function that was calibrated using adult data only. However, Asgharian et  
953 al. (2004) used age-specific nasal deposition data to develop age-related efficiency function  
954 parameters for ages under 12 years, between 12 and 15 years, and adults. Further analysis using  
955 these more appropriate age-specific efficiency parameters could possibly have a substantial  
956 impact on the dosimetry for all regions since the ET region effectively acts as a scrubber for the  
957 tracheobronchial and pulmonary regions.

958 All particle dosimetry calculations done for the current analysis were based on  
959 monodispersed or near-monodispersed particle distributions. This was done to allow more direct  
960 statements regarding comparisons for different particle sizes. In reality, however, exposure is  
961 almost always to polydispersed particles. The approach shown here could be expanded to  
962 polydispersed particles by taking particle size variability into account in the modeling.

963

## 964 **5.2 Issues Relevant to All Analyses**

965

966 There are also a number of issues that are relevant to the analyses of both particles and  
967 gases. Other issues apply to both inhalation exposures and oral exposures. Age-related changes  
968 in respiratory tract geometry were not accounted for in the current analysis of either particles or  
969 gases, . The MPPD model, unlike the RfC methods, accounts for age-related differences in  
970 respiratory tract geometry. These differences may be particularly important for the ET region, in  
971 light of the growth of the nose throughout life.

972 An additional consideration for all of the calculations presented here is that the ratios  
973 calculated are based on the mean child dose relative to the mean adult dose. In contrast, the  
974 CSAF is defined as the ratio between the dose for some higher percentile (e.g., 95<sup>th</sup> or 99<sup>th</sup>  
975 percentile) of the sensitive subpopulation and the mean of the general population. To address  
976 this consideration, the framework notes situations where the ratio (e.g., the RTDR) is less than  
977 3.2, but may be sufficiently high that inter-individual variability within an age group could result  
978 in some significant percentage of children differing from the adult dose by more than a factor of  
979 3.2.

980 The framework presents some initial thoughts regarding variability within the child  
981 population; additional consideration of this issue is needed. Only limited investigations were  
982 identified that evaluated variability within the child population. Pelekis et al. (2003) conducted  
983 PBPK modeling for methylene chloride using ranges for estimates of age-related physiological  
984 and biochemical parameters to develop annual average concentrations as population  
985 distributions. This approach could be used to evaluate total population variability.  
986 Consideration of first principles would suggest that children would vary less than adults in many  
987 physiological parameters. While some physiological parameters (e.g., body fat) tend to exhibit  
988 greater variability at later ages, child variability in dose appears to be comparable to or greater

989 than in adults. Renwick et al. (2000) reported that the magnitude of inter-individual variability  
990 in drug clearance (expressed as a percentage) is not influenced by age. However, Hattis et al.  
991 (2003) found that the neonates had greater variability for some, but not all drugs and metabolic  
992 pathways. Common pathogenic processes, such as asthma, may also alter the respiratory tract  
993 dimensions, and thus the dosimetry, in ways not accounted for in the current analysis (Ginsberg  
994 et al. 2005).

995

## 996 **6.0 FRAMEWORK**

997

998 An initial framework for evaluating this issue has been developed based on the results in  
999 this report and on the literature describing age-related differences in chemical kinetics and the  
1000 resulting impact on tissue dose. While there are clearly a number of areas in which this initial  
1001 framework can be enhanced and expanded, the intent is to provide a structure that highlights key  
1002 issues and identifies some standard approaches. This framework can then serve as a basis for  
1003 more in-depth analyses, and to focus generic and chemical-specific research on the key issues for  
1004 addressing children's risk due to kinetic differences.

1005 No attempt has been made at this point to calculate child-informed CSAFs, although  
1006 doing so is encouraged where adequate chemical-specific data are available. Instead, exposures  
1007 are grouped based on chemical characteristics (e.g., blood:air partition coefficient) or exposure  
1008 conditions (e.g., duration of exposure) according to the degree of certainty that the default  
1009 subfactor for human kinetic variability will be sufficient to protect children. The groups are:

- 1010 • Group 1 - Of concern. A factor of 3.2 does not appear to be sufficient.
- 1011 • Group 2 - Interindividual variability needs to be considered. The estimated ratio  
1012 falls between 2 and 3.2, and sufficient inter-individual variability in children  
1013 could result in a significant percentage of children differing from the adult dose  
1014 by more than a factor of 3.2.
- 1015 • Group 3 - Not of concern. The calculated ratio is between 1 and 2. Inter-  
1016 individual variability in children is unlikely to result in a significant percentage of  
1017 children differing from the mean adult dose by more than a factor of 3.2.
- 1018 • Group 4 - Children are estimated to receive a lower dose than adults.

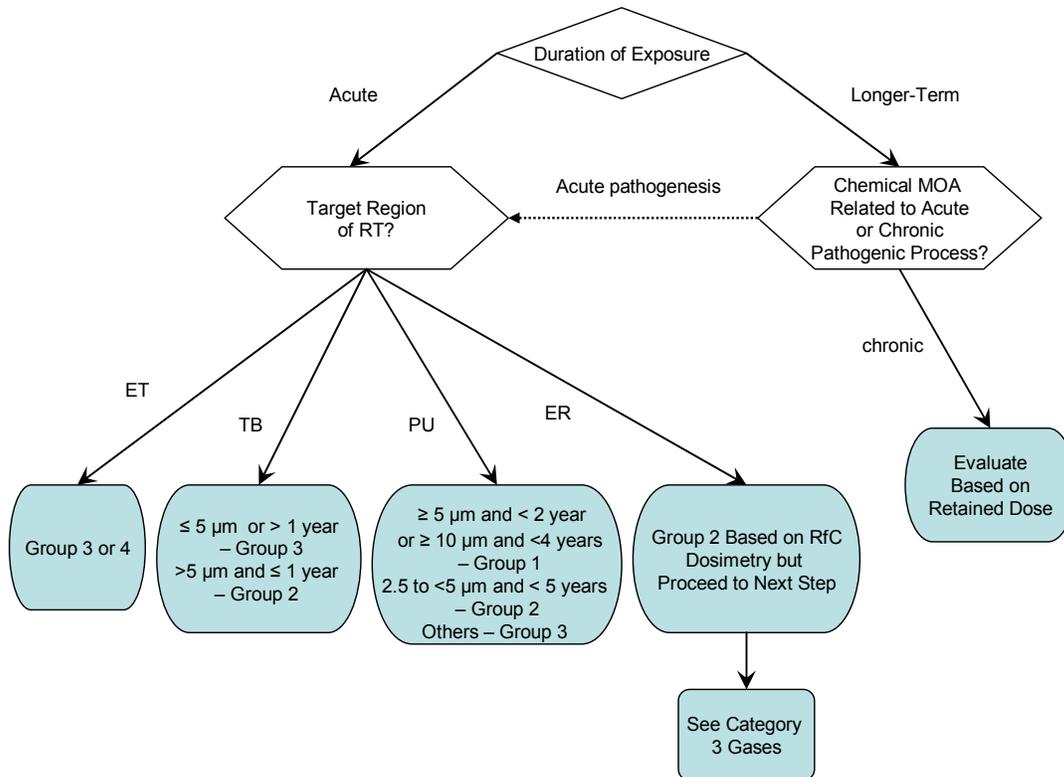
1019

1020 **6.1 Framework for Particles**

1021

1022 Figure 11a. Framework for Particles

1023



Group 1 = Of concern. A factor of 3.2 does not appear to be sufficient.  
 Group 2 = Interindividual variability needs to be considered. The estimated ratio falls between 2 and 3.2  
 Group 3 = Not of concern. The calculated ratio is between 1 and 2.  
 Group 4 = Children are estimated to receive a lower dose than adults.

1024  
 1025 Abbreviations used in Figure 11a:

- 1026 RT – Respiratory tract
- 1027 ET – Extrathoracic
- 1028 TB – Tracheobronchial
- 1029 PU – Pulmonary
- 1030 ER – Extrarespiratory
- 1031 MOA – Mode of action

1032

1033 Figure 11a shows the framework for addressing the sufficiency of the default subfactor  
1034 for inhaled particulates. As shown, the first consideration is the duration of the exposure of  
1035 interest. As discussed above, retained dose (which depends on particle clearance) is more likely  
1036 to be a meaningful dose metric than deposited dose for longer-term exposures. If exposure is  
1037 longer-term, one asks whether the chemical's mode of action is related to acute or chronic  
1038 pathogenic processes. If related to acute processes, the acute approach shown in the left side of  
1039 Figure 11a may still apply. Otherwise, the analysis should be based on retained dose.

1040 If the exposure of interest is acute or short-term (typically 1 day or less, but defined  
1041 operationally for this document as exposures for which tissue dose is determined primarily by  
1042 respiratory tract deposition), the next step is to identify the target region of the respiratory tract.  
1043 As discussed above, all values calculated for RTDR for the ET region were comparable or less  
1044 than 1 for all particle sizes evaluated (up to 10  $\mu\text{m}$ ). Therefore, the human kinetic uncertainty  
1045 factor is likely to be adequate for protecting children from effects in the respiratory tract. In the  
1046 tracheobronchial region, there is no concern at any age for exposure to particles of 5  $\mu\text{m}$  or less.  
1047 For the 10  $\mu\text{m}$  particles, the RTDR is close to 2 for children of 1 year or less, and inter-individual  
1048 variability needs to be considered to ensure that a factor of 3.2 covers the population. For effects  
1049 in the pulmonary region, the sufficiency of the default uncertainty factor depends on the particle  
1050 size and on the age of the child. Based on the deposition ratio alone, the default factor of 3.2  
1051 appears unlikely to be sufficiently protective for children of 1 year or less exposed to particles of  
1052 5  $\mu\text{m}$  or larger. Based on the graph in Figure 4, this insufficiency may extend up to about age 2  
1053 for 5  $\mu\text{m}$  particles, and up to about age 4 for 10  $\mu\text{m}$  particles. For children up to about 5 years  
1054 exposed to particles in the range of 2.5-5  $\mu\text{m}$ , the considerations regarding inter-individual  
1055 variability apply.

1056 Although specific particle sizes are listed for the different categories, these particle sizes  
1057 and ages should be considered as illustrative broad guidance, because they depend on the  
1058 specific parameters used for the calculations, as well as the model used. For example, we noted  
1059 that the surface areas used for our calculations differed from those cited by Sarangapani et al.  
1060 (2003). We did not conduct a full analysis using the surface areas found in the latter paper, but  
1061 initial calculations indicated that lower RTDR values would have been calculated using those  
1062 parameters. The minute volumes used and tracheobronchial surface areas also differed from  
1063 those used by Asgharian et al. (2004) and Jarabek et al. (2005).

1064 Ginsberg et al. (2005) conducted similar analyses for particles, using the ICRP (Smith  
1065 1994) model. They noted that the ICRP model estimates deposition by diffusion, as well as  
1066 impaction and sedimentation, and so is able to simulate models  $<0.5 \mu\text{m}$ . Regional surface areas  
1067 and ventilation rates for 3-month-old children and adult males were collected from a variety of  
1068 sources, and regional deposition in children and adults were compared for fine ( $<1 \mu\text{m}$ ) and  
1069 coarse ( $>1 \mu\text{m}$ ) particles. They found that, for the particles  $>1 \mu\text{m}$ , deposition was comparable  
1070 for the child and adult in the ET region, child deposition was comparable to or less than adult  
1071 deposition in the tracheobronchial region (which was subdivided into two subregions), and  
1072 deposition for children was greater than or equal to adult deposition in the pulmonary region. A  
1073 primary difference between these results and those presented in the current report appears to be  
1074 due to the differences in the surface areas and ventilation rates used.

1075 Jarabek et al. (2005) evaluated the human equivalent concentration (HEC, based on  
1076 relative respiratory dose to humans and animals) for people ranging from 3 months to adulthood  
1077 for poorly soluble nonfibrous particles (PSP) with mass median aerodynamic diameters  
1078 (MMAD) ranging from  $0.3$  to  $6 \mu\text{m}$ , taking into account deposition and clearance (but not age-  
1079 specific clearance). Retained mass in the tracheobronchial region normalized to surface area was  
1080 used as the dose metric. They found that the HEC was lowest (indicating highest tissue dose for  
1081 a given air concentration) for the 14-year-old group, and highest for the 3-month-old group,  
1082 regardless of particle size. The results were not used for detailed comparisons of the age-related  
1083 differences in retained dose, but the difference between the 14-year-old and the adult appeared to  
1084 be within a factor of about 3.

1085 Figure 11a also includes dosimetry considerations for extrapulmonary effects of particles.  
1086 A detailed analysis of age-related kinetics for these effects is not possible at this time, but factors  
1087 that should be considered include considerations of particle deposition using body weight as the  
1088 normalizing factor, as well as the factors affecting systemic clearance, as described below for  
1089 Category 3 gases.

1090 Figure 11b illustrates the framework for addressing the sufficiency of the default  
1091 subfactor for inhaled gases. The first issue to be considered is whether the effect occurs as a  
1092 portal-of-entry effect in the respiratory tract, or as a systemic effect.<sup>4</sup> Focusing first on the left-  
1093 hand side of the figure, the analysis for portal-of-entry effects in the respiratory tract are  
1094 addressed using the Category 1 gas dosimetry. As shown, the default UF for human kinetic  
1095 variability is adequate for all regions of the respiratory tract, and for several of the age groups the  
1096 tracheobronchial dose to children is lower than the dose to adults. This result is comparable to  
1097 that of Sarangapani et al. (2003), who conducted an analysis using a PBPK model, and found  
1098 that ozone deposition (% extraction) did not vary significantly with age in any region. Ratios  
1099 greater than 3 were found at ages up to 6 months in the ET region, and up to 1 year for PU, when  
1100 expressed in terms of extraction per unit surface area, but Ginsberg et al. (2005) noted that this  
1101 latter dose metric must be multiplied by the ventilation rate to be converted to the rate of  
1102 chemical deposition per unit surface area. Our results are also in general agreement with those  
1103 of Ginsberg et al. (2005), who reported that the child dose is comparable to or smaller than the  
1104 adult dose for the ET and TB regions, and little material is deposited in the pulmonary region.  
1105 Ginsberg and colleagues also noted the importance of breathing pattern in determining the dose  
1106 to different respiratory regions.

1107 Evaluation of the relative tissue dose for systemic effects is much more complex,  
1108 due to the numerous factors that can influence tissue dose. In light of this complexity, the first  
1109 choice is to use a validated physiologically based pharmacokinetic (PBPK) model to estimate the  
1110 tissue dose. This approach has the advantage of having the potential to include numerous age-  
1111 related differences in physiological and metabolic parameters, but has the disadvantage that  
1112 information may not be available for key parameters, particularly key metabolic parameters.  
1113 This approach has been used by a number of authors. Clewell et al. (2004) evaluated the ratio of  
1114 average daily dose for different ages to average daily dose in an adult, for chemicals with  
1115 different physicochemical properties (volatile vs. nonvolatile, lipophilic vs. water-soluble) and  
1116 different active forms (chemical vs. reactive metabolite vs. circulating metabolite), primarily for  
1117 the oral route, with some analyses for the inhalation route. They found that, for the majority of  
1118 the model compounds selected, the average daily dose to the child (for a given concentration in

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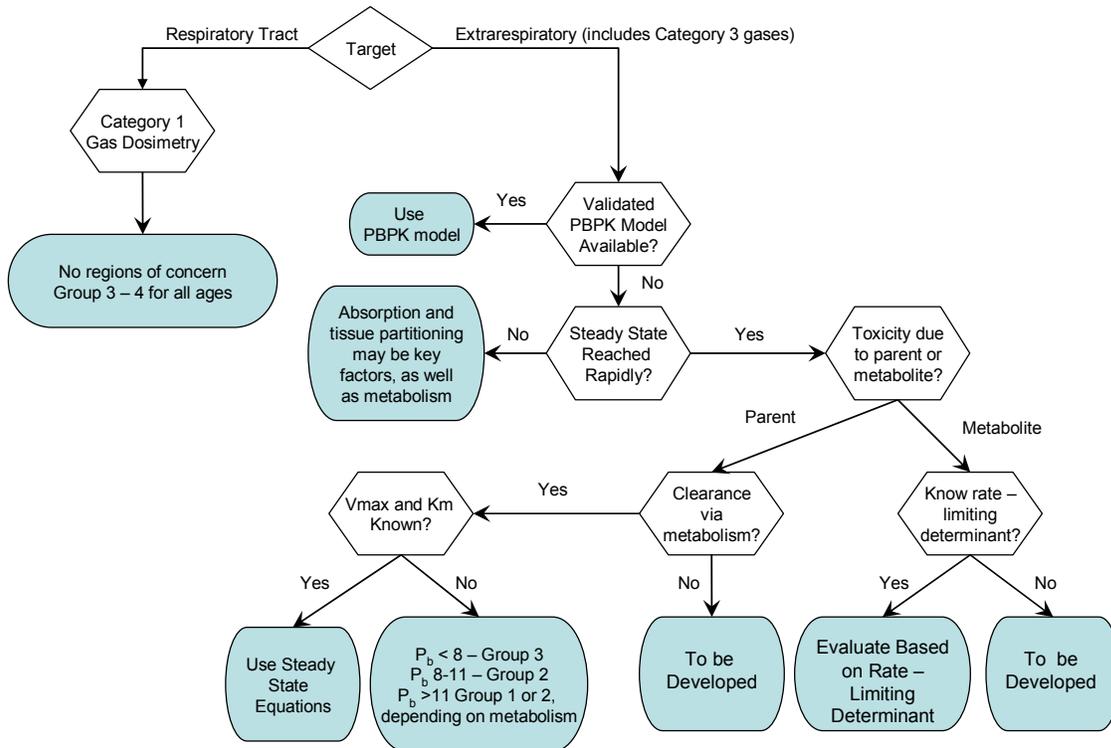
<sup>4</sup>Respiratory effects occasionally occur as the result of systemic exposure from the endothelial side of the cell layer, or as a combination of both direct contact effect and systemic exposure. Such effects would be addressed using Category 2 gas methodology, when that approach is finalized.

1119 **6.2 Framework for Gases**

1120

1121 Figure 11b. Framework for Gases

1122



Group 1 = Of concern. A factor of 3.2 does not appear to be sufficient.  
 Group 2 = Interindividual variability needs to be considered. The estimated ratio falls between 2 and 3.2  
 Group 3 = Not of concern. The calculated ratio is between 1 and 2.  
 Group 4 = Children are estimated to receive a lower dose than adults.

1123

1124 air, or intake in mg/kg-day) was comparable to or lower than that in adults for all age ranges, and

1125 the ratios were less than 2 for almost all chemicals. The exceptions were in the first 6 months of

1126 life, for the dose of nicotine (expressed as parent compound following oral exposure) and of the

1127 circulating metabolite of isopropanol via inhalation. While the authors noted that their

1128 conclusions were limited by the paucity of age-specific information on metabolic clearance, a

1129 number of efforts are underway to collect age-specific metabolic and physiological data

1130 (Renwick 1998; Hattis et al. 2003; personal communications from H. Clewell and L. Sweeney).

1131 In a related study, Sarangapani et al. (2003) evaluated age- and gender-specific differences in

1132 tissue dose resulting from inhalation exposure. They evaluated chemicals that were rapidly and

1133 irreversibly reactive in the respiratory tract (ozone), relatively water-soluble and nonreactive

1134 (isopropanol), and relatively water-insoluble and nonreactive (styrene, vinyl chloride, and  
1135 perchloroethylene). Blood concentrations of the *parent* compound exhibited little age-  
1136 dependency, while *metabolite* concentrations in the blood and the amount metabolized in liver or  
1137 lung showed stronger age dependence. Consistent with results reported for pharmaceuticals  
1138 (Renwick et al. 2000; Hattis et al. 2003), the largest differences were in the first year of life.  
1139 Differences from adult levels greater than 3-fold were observed for several of the chemicals,  
1140 with the largest differences at the earliest time point evaluated (1 month of age), and differences  
1141 generally in the range of two-fold or less by 1 year. This is consistent with the early immaturity  
1142 and development of enzyme systems.

1143 In another example of the use of PBPK models to address this issue, Price et al. (2003)  
1144 used a PBPK model to evaluate tissue dose resulting from inhalation exposure to furan at ages 6,  
1145 10, 14 years, and adult. They found that the maximum ratio of child:adult arterial blood  
1146 concentration was 1.5. Age-related differences in physiological parameters were collated by the  
1147 authors, supplemented by regression equations developed by Haddad et al. (2001). PBPK  
1148 models have also been used by Pelekis et al. (2001), Ginsberg et al.(2004a), and Haddad et al.  
1149 (1999) to evaluate age-related differences in kinetics.

1150 In many cases, insufficient data or resources are available to develop and parameterize  
1151 age-specific PBPK models. In that case, the next question is whether steady state is achieved  
1152 rapidly (relative to the duration of exposure and the size of the age range). This is a key question  
1153 because several simplifications assume that steady state has been reached, as discussed below.  
1154 Prior to reaching steady state, factors such as absorption and tissue distribution may play key  
1155 roles in determining tissue dose (in addition to the metabolic parameters that determine tissue  
1156 dose after reaching steady state). For example, lipophilic chemicals may not reach steady state  
1157 over an entire lifetime, and the relative volume of lipid stores may be a key determinant of tissue  
1158 dose.

1159 The next decision step is whether toxicity is due to parent or metabolite. Increased  
1160 metabolism of a chemical may decrease toxicity if the parent is the toxic form, but increase  
1161 toxicity if the metabolite is the toxic form. In addition, when the metabolite is the toxic form,  
1162 tissue dose is determined by both the rate of production of the metabolite and the rate of its  
1163 clearance.

1164           When the parent chemical is the toxic agent, the next question is whether the chemical is  
1165 cleared by metabolism, or by other mechanisms. This determines whether metabolic factors or  
1166 other factors (e.g., renal clearance) will drive the tissue dose. As an example for the oral  
1167 exposure route, boron is rapidly and extensively absorbed, widely distributed in body water, not  
1168 metabolized, and readily excreted in the urine. Therefore, the key determinant of tissue dose is  
1169 the glomerular filtration rate (GFR), and the RfD for boron used a CSAF for interspecies kinetic  
1170 extrapolation based on the interspecies ratio of GFR (EPA 2005).

1171           If clearance occurs primarily via metabolism, the next question is whether age-specific  
1172 data are available for the kinetic parameters ( $V_{max}$  and  $K_m$ ). If these data are available, the  
1173 steady state equation can be used to estimate the steady state blood concentration for different  
1174 age groups. The assumptions described for this method in the Results section apply for such  
1175 analyses (e.g., metabolism occurs solely in the liver). Age-specific data may be available only  
1176 for  $V_{max}$ , but not for  $K_m$ ; in such cases the same  $K_m$  is often used for all ages. This is a  
1177 reasonable approach, since the  $K_m$  is intrinsic to the enzyme, while  $V_{max}$  (on an organism  
1178 basis) depends on the *amount* of enzyme in the liver, and it is the enzyme amount that tends to  
1179 show the largest age-related changes (assuming that the same isoform is produced). When the  
1180  $V_{max}$  and  $K_m$  for the chemical of interest are not known, information on age-related differences  
1181 in  $V_{max}$  for the same enzyme but using a related chemical substrate may provide useful  
1182 information regarding conditions under which the default factor is not sufficient. However,  
1183 caution is necessary when extrapolating from other chemicals, because wide differences in  
1184 intrinsic clearance may occur for the same enzyme with different substrates.

1185           When the  $V_{max}$  and  $K_m$  are not known, some additional conclusions about the adequacy  
1186 of the default uncertainty factor can be drawn based on the worst-case analysis shown in Figure 9  
1187 for how the child:adult steady state blood concentrations vary with the blood:air partition  
1188 coefficient. As shown in the Results section, the default uncertainty factor is sufficient for  
1189 chemicals with a blood:air partition coefficient less than 8, even making worst-case assumptions  
1190 regarding the age-related differences in metabolism (100% metabolic clearance in adults, 0%  
1191 metabolic clearance in children). This conclusion could be enhanced by considering the impact  
1192 of child variability. In the worst-case scenario, child variability in tissue dose for chemicals with  
1193 a blood:air partition coefficient of 8-11 may be more important in determining the adequacy of  
1194 the default uncertainty factor. For chemicals with a blood:air partition coefficient of 11 or

1195 greater, evaluation of the relative amount of metabolic clearance in adults and children becomes  
1196 key. The default uncertainty factor for such chemicals appears unlikely to be sufficient in the  
1197 worst-case scenario, but lower metabolic clearance in adults, and/or some metabolic capacity in  
1198 children will mean that the default uncertainty factor is sufficient for chemicals with higher  
1199 blood:air partition coefficients. This was highlighted in the example presented on ADH.

1200         Only limited broadly-applicable statements can be made about the adequacy of the  
1201 default human kinetic uncertainty factor when the metabolite is the toxic agent. For example, for  
1202 metabolites that are readily excreted, the generally lower metabolic capacity in the first 6 months  
1203 to a year of life suggest that this age group may not be at greater risk, while the higher metabolic  
1204 capacity in the 1-2 year range suggest that additional attention may need to be paid to these  
1205 groups. As described for situations where the parent is the toxic form, additional analyses can be  
1206 conducted in the specific situations where the rate-limiting determinant of tissue dose has been  
1207 identified. For example, Ginsberg et al. (2005) identified several conditions under which greater  
1208 metabolite levels may occur in the infant liver than the adult liver. These conditions are all  
1209 related to the finding that, for many pathways, there is a period (typically in the range of 2  
1210 months to 2 years), when parent chemicals have a shorter half-life compared to adults. These  
1211 conditions include: (1) highly metabolized gases; (2) Category 3 gases at 1 year of age for  
1212 metabolism pathways that have reached full maturity by this age; and (3) cases where the  
1213 metabolite formation rate is considerably greater than the metabolite removal rate and the  
1214 metabolite removal rate involves a cytochrome P450 (CYP) or other pathway that is immature  
1215 early in life. Except for the third group, these conditions do not consider removal of the  
1216 metabolite. As another example of identification of the rate-limiting step, if a chemical is  
1217 cleared primarily by glucuronidation, the low activity at early ages suggests that particular  
1218 attention should be paid to urinary metabolites to determine whether adequate clearance occurs  
1219 via alternative conjugation pathway (e.g., sulfation).

1220         We have not attempted to derive a comparable framework for the oral route. Many of the  
1221 considerations in the extrarespiratory branch of Figure 11b also would apply to the oral route, but  
1222 additional complications of first-pass metabolism and absorption need to be considered. Current  
1223 interagency efforts (Jarabek, personal communication) to develop dosimetric approach for the  
1224 oral route (in addition to dermal dosimetry and improved inhalation dosimetry) are likely to  
1225 provide additional guidance for this route.

1226

## 1227 **7.0 CONCLUSION AND FUTURE STEPS**

1228

1229           This report describes a framework for addressing the adequacy of the human kinetic  
1230 variability subfactor for protecting children. Because short-term differences in kinetics result in  
1231 small impacts on average lifetime daily dose, the kinetic differences observed in children are of  
1232 greatest interest for two types of situations. The first is when exposure duration is comparable to  
1233 or shorter than the duration of the life period of increased susceptibility, such as for acute  
1234 exposure guidelines. The second situation is when there is a window of increased tissue  
1235 susceptibility due to age-related toxicodynamic differences, meaning that additional focus on  
1236 kinetics in the child is appropriate.

1237           A number of enhancements and additional details might be added to the framework. For  
1238 example, it would be useful to better refine the particle dosimetry calculations by using age-  
1239 specific efficiency parameters developed by Asgharian et al. (2004), or using a mechanistic  
1240 model such as MPPD. Better harmonization of age-related parameters for the respiratory tract  
1241 would also be useful. For the Category 3 gases, further work could be done to bound the range  
1242 of values for which the intrinsic clearance results in a child:adult ratio  $>3$ . The work described  
1243 for ADH metabolism could be expanded to other enzyme systems. The framework could also be  
1244 extended to address toxic metabolites, as well as providing additional guidance on how and when  
1245 to incorporate the available information on age-related differences in excretion. Finally, the  
1246 development of a dosimetric approach for oral exposure will facilitate more detailed analyses for  
1247 that route. Despite these opportunities for improvements, it is hoped that this framework will  
1248 provide a structure for thinking about the implications of age-related kinetic differences for  
1249 tissue dose and for future research in this area.

1250

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1252

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1255

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1437  
1438

1439 **APPENDIX**

1440  
1441 To estimate the difference of particle deposited dose among different age groups, a  
1442 preliminary quantitative estimate was based on U.S. EPA RfC method (EPA 1994) for  
1443 calculating Regional Deposited Dose (RDDr). The method is briefly summarized below.

1444  
1445 
$$RDDr = 10^{-6} \times Ci \times V_E \times Fr$$

1446  
1447 where:

1448  
1449 RDDr = dose deposited in region r, mg/min,

1450 Ci = concentration, mg/m<sup>3</sup> (this will be canceled out in the later age group  
1451 comparison),

1452 V<sub>E</sub> = minute volume, mL/min, obtained from Stanek et al. (2004)

1453 Fr = regional fractional deposition in region r.

1454  
1455 The regional fractional deposition, Fr, was calculated using the equation for  
1456 monodisperse and near monodisperse ( $\sigma_g < 1.3$ ) particles by multiplying the predicted deposition  
1457 efficiency factor, E( $\eta_r$ ), and inhalability factor, I.

1458  
1459 
$$E(\eta_r) = 1/(1 + e^{\alpha + \beta \log X})$$

1460  
1461 Where E( $\eta_r$ ) was the expected value of deposition efficiency ( $\eta_r$ ) for region r, and X was  
1462 expressed as an impaction parameter,  $d_{ae}^2 Q$ , for extrathoracic deposition efficiency and as  
1463 aerodynamic particles size,  $d_{ae}$ , for TB and PU deposition efficiencies. The flow rate, Q, in the  
1464 impaction parameter was approximated by  $V_E/30$ . The parameters  $\alpha$  and  $\beta$  based on nonlinear  
1465 regression techniques are listed in the Table A1, and they are obtained from the Table G-2 of  
1466 EPA's RfD guideline (EPA 1994).

1468 Table A1. Regression Coefficients of  $\alpha$  and  $\beta$  for Humans in Various Respiratory Regions.

	<b>ET (nasal)</b>	<b>TB</b>	<b>PU</b>
$\alpha$	7.129	3.298	0.522
$\beta$	-1.957	-4.588	-1.389

1469

1470 Inhalability,  $I$ , is an adjustment factor for the particles in an ambient exposure  
 1471 concentration that are not inhaled at all. For humans, the equation proposed in the EPA's RfC  
 1472 guideline was used.

1473

1474 
$$I = 1 - 1/(1 + e^{10.32 - 7.17 \log dae})$$

1475

1476 The deposition fractions for various regions were estimated after adjusting for  
 1477 inhalability and regional particle deposition efficiencies as well as filtering effect occurred in the  
 1478 airway.

1479

1480 
$$F_{ET} = I \times \eta_{ET}$$
  
 1481 
$$F_{TB} = I \times (1 - \eta_{ET}) \times \eta_{TB}$$
  
 1482 
$$F_{PU} = I \times (1 - \eta_{ET}) \times (1 - \eta_{TB}) \times \eta_{PU}$$
  
 1483 
$$F_{RES} = F_{ET} + F_{TB} + F_{PU}$$

1484

1485 Based on calculated RDDr for each age group, ratio differences between each child group  
 1486 and adult (30-year old) can be calculated.

1487

1488 
$$RTDR_r = RDDr_{child} / RDDr_{adult}$$
  
 1489 
$$= [(SAr)_a / (SAr)_c] \times [(V_E)_c / (V_E)_a] \times [(Fr)_c / (Fr)_a]$$

1490

1491 where:

1492

- 1493 RTDR<sub>r</sub> = regional target dose ratio of particles for respiratory tract region (r)  
 1494 a = adult  
 1495 c = child

1496 Sar = surface area in respiratory region (r) for the particular age group obtained  
1497 from Stanek et al. (2004)  
1498